

Math 402/403/404 Notes

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These are notes based on the University of Washington modern algebra sequence (Math 402, 403, and 404) taught by Minseon Shin. The course loosely followed Thomas W. Hungerford's *Abstract Algebra: An Introduction*. These notes mainly contain definitions, propositions, theorems, etc. For proofs and detailed explanations, refer to the actual text.

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1 Arithmetic in \mathbb{Z} Revisited

1.1 The Division Algorithm

Axiom 1.1 (Well-Ordering Axiom). Every nonempty subset of the set of nonnegative integers contains a smallest element.

Theorem 1.2 (The Division Algorithm). Let a, b be integer with $b > 0$. Then there exist unique integers q and r such that

$$a = bq + r \quad \text{and} \quad 0 \leq r < b.$$

1.2 Divisibility

Definition 1.3. Let a and b be integers with $b \neq 0$. We say that b *divides* a (or that b *is a divisor of* a , or that b *is a factor of* a), if $a = bc$ for some integer c . We denote “ b divides a ” by $b \mid a$ and “ b does not divide a ” by $b \nmid a$.

Lemma 1.4. Suppose a, b are integers. Then

- (i) a and $-a$ have the same divisors;
- (ii) $a \mid 0$ for all $a \in \mathbb{Z}$;
- (iii) $1 \mid a$ for all $a \in \mathbb{Z}$;
- (iv) if $a \neq 0$ and $b \mid a$, then $|b| \leq |a|$;

Corollary 1.5. Every integer $a \neq 0$ has only finitely many divisors.

Definition 1.6. Let a, b, c be integers. If $c \mid a$ and $c \mid b$ then we say c is a *common divisor* of a and b .

Lemma 1.7. Let $a, b, d \in \mathbb{Z}$ be integers. If $d \mid a$ and $d \mid b$, then $d \mid ma + nb$ for any $m, n \in \mathbb{Z}$.

Definition 1.8. Let a, b are integers such that not both are zero. The *greatest common divisor (gcd)* of a and b is the integer d that divides both a and b . In other words, d is the gcd of a and b provided that

- (i) $d \mid a$ and $d \mid b$;
- (ii) if $c \mid a$ and $c \mid b$, then $c \leq d$.

The greatest common divisor of a and b is denoted by (a, b) .

Theorem 1.9. Let a, b are integers such that not both are zero, and let d be their greatest common divisor. Then there exist integers u and v such that $d = au + bv$.

Corollary 1.10. Let a, b are integers such that not both are zero, and let d be a positive integer. Then d is the greatest common divisor of a and b if and only if d satisfies:

- (i) $d \mid a$ and $d \mid b$;
- (ii) if $c \mid a$ and $c \mid b$, then $c \mid d$.

Theorem 1.11. If $a \mid bc$ and $(a, c) = 1$ then $a \mid c$.

Definition 1.12. We say that $a, b \in \mathbb{Z}$ are *relatively prime* if $\gcd(a, b) = 1$.

1.3 Primes and Unique Factorization

Definition 1.13. An integer p is said to be *prime* if $p \neq 0, \pm 1$ and the only divisors of p are ± 1 and $\pm p$. If p is not $0, \pm 1$, or prime, then it is *composite*.

Lemma 1.14. Let p, q be integers. Then the following are true:

- (i) p is prime if and only if $-p$ is prime;
- (ii) if p and q are prime and $p \mid q$, then $p = \pm q$.

Theorem 1.15. Let p be an integer with $p \neq 0, \pm 1$. Then p is prime if and only if p has the following property: whenever $p \mid bc$ for integers b, c , then $p \mid b$ or $p \mid c$.

Corollary 1.16. If p is prime and $p \mid a_1 a_2 \cdots a_n$, then p divides at least one of the a_i .

Theorem 1.17. Every integer n , except $0, \pm 1$, is a product of primes.

Theorem 1.18 (The Fundamental Theorem of Arithmetic). Every integer n except $0, \pm 1$ is a product of primes. This prime factorization is unique in the following sense: if $n = p_1 p_2 \cdots p_r$ and $n = q_1 q_2 \cdots q_s$ where each p_i, q_j are prime, then $r = s$ and the q 's can be reordered (and relabeled) such that $p_1 = \pm q_1, p_2 = \pm q_2, \dots, p_r = \pm q_r$.

Corollary 1.19. Every integer $n > 1$ has a unique form $n = p_1 p_2 \cdots p_r$, where each p_i is positive and prime and $p_1 \leq p_2 \leq \cdots \leq p_r$.

Theorem 1.20. Let $n > 1$. If n has no positive prime factor p such that $p < \sqrt{n}$, then n is prime.

2 Congruence in \mathbb{Z} and Modular Arithmetic

2.1 Congruence and Congruence Classes

Definition 2.1. Let a, b, n be integer with $n > 0$. Then a is *congruent to b modulo n* provided that n divides $a - b$. In that case, we'd write $a \equiv b \pmod{n}$.

Theorem 2.2. Let n be a positive integer. For all $a, b, c \in \mathbb{Z}$,

- (i) $a \equiv a \pmod{n}$;
- (ii) if $a \equiv b \pmod{n}$, then $b \equiv a \pmod{n}$;
- (iii) if $a \equiv b \pmod{n}$ and $b \equiv c \pmod{n}$, then $a \equiv c \pmod{n}$.

Theorem 2.3. If $a \equiv b \pmod{n}$ and $c \equiv d \pmod{n}$, then

- (i) $a + c \equiv b + d \pmod{n}$;
- (ii) $ac \equiv bd \pmod{n}$.

Definition 2.4. Let a and n be integers with $n > 0$. The *congruence class of a modulo n* , denoted $[a]$, is the set of all integers that are congruent to a modulo n , that is,

$$[a] = \{b \mid b \in \mathbb{Z} \text{ and } b \equiv a \pmod{n}\}.$$

Theorem 2.5. Let a, c, n be integers with $n > 0$. Then $a \equiv c \pmod{n}$ if and only if $[a] = [c]$.

Corollary 2.6. Two congruence classes modulo n are either disjoint or identical.

Corollary 2.7. Let $n > 1$ be an integer and consider congruence modulo n .

- (i) If a is any integer and r is the remainder when a is divided by n , then $[a] = [r]$.
- (ii) There are exactly n distinct congruence classes, namely, $[0], [1], \dots, [n-1]$.

Definition 2.8. The set of all congruence classes modulo n is denoted $\mathbb{Z}/n\mathbb{Z}$ (read “ \mathbb{Z} mod n ”).

Lemma 2.9. The set $\mathbb{Z}/n\mathbb{Z}$ has exactly n elements.

2.2 Modular Arithmetic

Theorem 2.10. If $[a] = [b]$ and $[c] = [d]$ in $\mathbb{Z}/n\mathbb{Z}$, then

$$[a + c] = [b + d] \quad \text{and} \quad [ac] = [bd].$$

Definition 2.11. Addition and multiplication in $\mathbb{Z}/n\mathbb{Z}$ are defined by

$$[a] \oplus [c] = [a + c] \quad \text{and} \quad [a] \odot [c] = [ac].$$

Theorem 2.12. For any classes $[a], [b], [c] \in \mathbb{Z}/n\mathbb{Z}$,

- (1) if $[a], [b] \in \mathbb{Z}/n\mathbb{Z}$, then $[a] \oplus [b] \in \mathbb{Z}/n\mathbb{Z}$ (closed under addition);
- (2) $[a] \oplus ([b] \oplus [c]) = ([a] \oplus [b]) \oplus [c]$ (associative addition);
- (3) $[a] \oplus [b] = [b] \oplus [a]$ (commutative addition);
- (4) $[a] \oplus [0] = [0] \oplus [a] = [a]$ ($[0]$ is the additive identity);
- (5) For each $[a] \in \mathbb{Z}/n\mathbb{Z}$, the equation $[a] \oplus x = [0]$ has a solution in $\mathbb{Z}/n\mathbb{Z}$ (additive inverse);
- (6) if $[a], [b] \in \mathbb{Z}/n\mathbb{Z}$, then $[a] \odot [b] \in \mathbb{Z}/n\mathbb{Z}$ (closed under multiplication);
- (7) $[a] \odot ([b] \odot [c]) = ([a] \odot [b]) \odot [c]$ (associative multiplication);
- (8) $[a] \odot [b] = [b] \odot [a]$ (commutative multiplication);
- (9) $[a] \odot ([b] \oplus [c]) = [a] \odot [b] \oplus [a] \odot [c]$ (multiplication distributes);
- (10) $[a] \cdot [1] = [1] \cdot [a] = [a]$ ($[1]$ is the multiplicative identity).

Definition 2.13. The same exponent notation used in ordinary arithmetic is also used in $\mathbb{Z}/n\mathbb{Z}$. If $[a] \in \mathbb{Z}/n\mathbb{Z}$, and k is a positive integer, then

$$[a]^k = [a] \odot [a] \odot \cdots \odot [a] \quad (k \text{ factors}).$$

2.3 $\mathbb{Z}/n\mathbb{Z}$ is an Integral Domain

Lemma 2.14. Let $a, n \in \mathbb{Z}$ with $n > 0$. The element $[a] \in \mathbb{Z}/n\mathbb{Z}$ is a unit if and only if $(a, n) = 1$.

Definition 2.15. Let R be a ring. For any element $r \in R$, let $\mu_r : R \rightarrow R$ be the “multiplication-by- r map”, i.e. $\mu_r(x) = rx$ for all $x \in R$. We say that r is a *non-zero divisor* if μ_r is injective; otherwise r is a *zero divisor*.

Lemma 2.16. Let $r \in \mathbb{Z}/n\mathbb{Z}$ and let $f(x) = rx$ for all $x \in \mathbb{Z}/n\mathbb{Z}$. The following are equivalent:

- (i) r is a unit;
- (ii) f is bijective;

(iii) f is surjective.

Lemma 2.17. In a finite ring R , every non-zero divisor is a unit.

Definition 2.18. Let R be a ring. We say that R is an *integral domain* if every non-zero element is a non-zero divisor. We say that R is a *field* if every non-zero element is a unit.

Theorem 2.19. Let $n > 1$. The following are equivalent:

- (i) n is prime;
- (ii) $\mathbb{Z}/n\mathbb{Z}$ is an integral domain;
- (iii) $\mathbb{Z}/n\mathbb{Z}$ is a field.

2.4 Chinese Remainder Theorem

Definition 2.20. Given two rings R, S , their *product ring* is the set

$$R \times S = \{(r, s) : r \in R, s \in S\}$$

with addition and multiplication defined by

$$\begin{aligned}(r_1, s_1) + (r_2, s_2) &= (r_1 + r_2, s_1 + s_2) \\ (r_1, s_1) \cdot (r_2, s_2) &= (r_1 \cdot r_2, s_1 \cdot s_2)\end{aligned}$$

for all $r_i \in R$ and $s_i \in S$.

Definition 2.21. Given a, n with $n > 0$, we let $[a]_n$ be a congruence class modulo n . If $m \mid n$, there is a ring homomorphism $\mathbb{Z}/n\mathbb{Z} \rightarrow \mathbb{Z}/m\mathbb{Z}$ sending $[a]_n \rightarrow [a]_m$ for all $a \in \mathbb{Z}$. For any integers $m, n > 0$, we define the ring homomorphism

$$\varphi : \mathbb{Z}/mn\mathbb{Z} \rightarrow \mathbb{Z}/m\mathbb{Z} \times \mathbb{Z}/n\mathbb{Z}$$

sending

$$[a]_{mn} \rightarrow ([a]_m, [a]_n)$$

for all $a \in \mathbb{Z}$.

Theorem 2.22 (Chinese Remainder Theorem). The map φ is bijective if and only if $(m, n) = 1$.

Corollary 2.23. If $n = p_1^{e_1} \cdots p_r^{e_r}$ where p_i are distinct primes, then there is an isomorphism

$$\mathbb{Z}/n\mathbb{Z} \simeq \mathbb{Z}/p_1^{e_1}\mathbb{Z} \times \cdots \times \mathbb{Z}/p_r^{e_r}\mathbb{Z}$$

of rings.

3 Rings

3.1 Definition and Properties Rings

Definition 3.1. A *ring* is a nonempty set R equipped with two operations (usually written as addition and multiplication) that satisfy the following axioms. For all $a, b, c \in R$:

- (1) if $a \in R$ and $b \in R$, then $a + b \in R$ (closure of addition);
- (2) $a + (b + c) = (a + b) + c$ (associative addition);

- (3) $a + b = b + a$ (commutative addition);
- (4) there is an element $0 \in R$ such that $a + 0 = a + 0 = a$ for every $a \in R$ (additive identity);
- (5) for each $a \in R$, the equation $a + x = 0$ has a solution in R (existence of additive inverse);
- (6) if $a \in R$ and $b \in R$, then $ab \in R$ (closure of multiplication);
- (7) $a(bc) = (ab)c$ (associative multiplication);
- (8) $a(b + c) = ab + ac$ and $(a + b)c = ac + bc$ (distributive laws);

Definition 3.2. A *commutative ring* is a ring R that satisfies:

$$ab = ba \text{ for all } a, b \in \mathbb{R} \quad (\text{commutative multiplication}).$$

Definition 3.3. A *ring with identity* is a ring R that contains an element 1 that satisfies:

$$a1 = 1a = a \text{ for all } a \in \mathbb{R} \quad (\text{multiplicative identity}).$$

Definition 3.4. A *division ring* is a ring with identity R that satisfies the following: for each $a \in R$, the equation $ax = 1$ has a solution in R (existence of the multiplicative inverse);

Definition 3.5. A *field* is a division ring that also satisfies commutative multiplication.

3.2 Example of Rings

Definition 3.6. Let R be a ring. We say that an element $l \in R$ is a *left identity* if $lx = x$ for all $x \in R$. We say that an element $r \in R$ is a *right identity* if $xr = x$ for all $x \in R$. We say that an element $1 \in R$, is a *identity* if it is both an left and right identity.

Definition 3.7. Let R be a ring with identity and let $a, b \in R$ be elements. We say that a is a *left inverse* to b (and b is a *right inverse* to a) if $a \cdot b = 1$. We say that u is *unit* if it has both a left inverse and right inverse.

Definition 3.8. Let R be a ring, and let $a \in R$ be an element. Let $\mu : R \rightarrow R$ be the left multiplication-by- a map, i.e. $\mu(x) = a \cdot x$. Let $\nu : R \rightarrow R$ be the right multiplication-by- a map, i.e. $\nu(x) = x \cdot a$. We say that a is a *non-zero divisor* if both μ and ν are injective.

Lemma 3.9. The additive identity 0 is unique. The multiplicative identity 1 is unique (if it exists).

Lemma 3.10. The additive inverse $-a$ is unique. If R is commutative, then multiplicative inverses are unique (if they exists).

Lemma 3.11. Let R be a ring,

- (i) if $a + b = a + c$, then $b = c$;
- (ii) $a \cdot 0 = 0 \cdot a = 0$;
- (iii) $a \cdot (-b) = (-a) \cdot b = -(a \cdot b)$;
- (iv) $-(-a) = a$;
- (v) $-(a + b) = (-a) + (-b)$;
- (vi) $(-a) \cdot (-b) = ab$.

If R has the identity element, then $(-1) \cdot a = -a$.

Remark. Examples of rings:

- (i) The rings \mathbb{Z} , $\mathbb{Z}/n\mathbb{Z}$ are commutative with identity.
- (ii) The zero ring $R = 0$ contains only one element 0.
- (iii) For $n \in \mathbb{Z}$, the set $R = n\mathbb{Z}$ is a commutative ring with identity if and only if $|n| \leq 1$.
- (iv) For a set X and ring R , the set of functions $f : X \rightarrow R$ such that $(f + g)(x) = f(x) + g(x)$ and $(f \cdot g)(x) = f(x) \cdot g(x)$ is a ring.
- (v) Given a commutative ring R with identity, the set $S = R[x_1, \dots, x_n]$ of (multivariate) polynomials in variables x_1, \dots, x_n with coefficients in R is a commutative rings with identity.
- (vi) Given a ring R , the set $M_n(R)$ of $n \times n$ matrices with entries in R is a ring using the usual matrix addition and matrix multiplication. The additive identity is the zero matrix. If R has identity, then the multiplicative identity is the identity matrix.

Lemma 3.12. Let R be a commutative ring with identity and set $S = M_n(R)$. If $u, v \in S$ such that $u \cdot_S v = id_n$, then $v \cdot_S u = id_n$.

Definition 3.13. Let R be a ring and let $S \subset R$ be a subset. We say that S is a *subring* of R if

- (i) if $a, b \in S$, then $a + b \in S$ (closed under addition);
- (ii) if $a, b \in S$, then $a \cdot b \in S$ (closed under multiplication);
- (iii) $0 \in S$;
- (iv) if $a \in S$; then $-a \in S$.

3.3 Ring Homomorphisms

Definition 3.14. Let R, S be rings and let $f : R \rightarrow S$ be a function. We say that f is a *ring homomorphism* if

- (i) $f(a +_R b) = f(a) +_S f(b)$,
- (ii) $f(a \cdot_R b) = f(a) \cdot_S f(b)$

for all $a, b \in R$. A bijective ring homomorphism is called a *ring isomorphism*. If R, S have identity and f satisfies

- (iii) $f(1_R) = 1_S$,

then f is a *unital ring homomorphism*.

Lemma 3.15. If f is a ring isomorphism, then the inverse function f^{-1} is also a ring isomorphism.

Lemma 3.16. Let $f : R \rightarrow S$ be a ring homomorphism.

- (i) $f(0_R) = 0_S$.
- (ii) $f(-a) = -f(a)$.
- (iii) $f(R)$ is a subring of S .

If R has identity:

- (iv) $f(R)$ has identity;
- (v) $f(1_R) = 1_{f(R)}$;
- (vi) if in addition f is surjective, then $f(1_R) = 1_S$.

Lemma 3.17. Let R, S be commutative rings with identity, let $\varphi : R \rightarrow S$ be a ring isomorphism.

- (i) $a \in R$ is a unit if and only if $\varphi(a) \in S$ is a unit.
- (ii) $a \in R$ is irreducible if and only if $\varphi(a) \in S$ is irreducible.

(iii) $a \in R$ is prime if and only if $\varphi(a) \in S$ is prime.

Remark. There are a few techniques to show that two rings are not isomorphic. Cardinality: if the number of objects in each ring are different, then the rings are not isomorphic. Number of units: if the number of units in a ring are different, then the rings are not isomorphic. Number of solutions to equations: if an equation (meaningful in both rings) yields a different number of solutions.

4 Arithmetic in $F[x]$

4.1 The Polynomial Ring

Definition 4.1. Let R be a ring. A *polynomial* with coefficients in R is an infinite vector

$$a = (a_0, a_1, a_2, \dots)$$

where each $a_i \in R$ and there exists an n such that $a_i = 0_R$ for $i > n$. The set of all polynomials with coefficients is the polynomial ring $R[x]$. Given $a = (a_0, a_1, a_2, \dots)$ and $b = (b_0, b_1, b_2, \dots)$ in $R[x]$, their sum is defined as

$$a +_{R[x]} b = (a_0 +_R b_0, a_1 +_R b_1, a_2 +_R b_2, \dots)$$

and their product

$$a \cdot_{R[x]} b = ((a \cdot_{R[x]} b)_0, (a \cdot_{R[x]} b)_1, (a \cdot_{R[x]} b)_2, \dots)$$

has k th coordinate

$$(a \cdot_{R[x]} b)_k = \sum_{i+j=k} a_i \cdot_R b_j + a_1 \cdot_R b_{k-1} + \dots + a_k \cdot_R b_0.$$

for all $k \geq 0$. In terms of notation, the expression $a_0 + a_1x + \dots + a_nx^n$ is equivalent to $(a_0, a_1, \dots, a_n, 0_R, \dots)$.

Lemma 4.2. Let R be a ring.

- (i) The set $R[x]$ is a ring under $+_R$ and \cdot_R and the additive identity is $0_{R[x]} = (0_R, 0_R, \dots)$.
- (ii) If R is commutative, then $R[x]$ is commutative.
- (iii) If R has identity, then $R[x]$ also has identity and $1_{R[x]} = (1_R, 0_R, 0_R, \dots)$.

Lemma 4.3. If $a, b \in R[x]$ and $a_i = 0$ for $i > 0$, then

$$a \cdot_{R[x]} b = (a_0, 0_R, \dots) \cdot_{R[x]} (b_0, b_1, b_2, \dots) = (a_0 \cdot b_0, a_0 \cdot b_1, a_0 \cdot b_2, \dots).$$

Lemma 4.4. The function $R \rightarrow R[x]$ defined by $f(a) = (a, 0_R, \dots)$ is an injective ring homomorphism.

Definition 4.5. A polynomial a satisfying $a_i = 0$ for $i > 0$ is called *constant*. By Lemma 4.4, the constant polynomials form a subring of $R[x]$ that is isomorphic to R .

Lemma 4.6. Let x^n be the polynomial with 1_R in the n th position and 0_R elsewhere, i.e. $(x^n)_n = 1_R$ and $(x^n)_i = 0_R$ if $i \neq n$. For any $a = (a_0, a_1, a_2, \dots) \in R[x]$, we have

$$x^n \cdot_{R[x]} a = (0_R, \dots, 0_R, a_0, a_1, a_2, \dots)$$

where, on the right side, each a_i is in the $(n+1)$ th position.

Remark. Polynomials should not be thought of as functions. For $R = \mathbb{Z}/2\mathbb{Z}$, the polynomials x and x^2 define the same function $\mathbb{Z}/2\mathbb{Z} \rightarrow \mathbb{Z}/2\mathbb{Z}$, but they are considered different since their coefficients are different. More

generally, if R is a finite ring, there are finitely many functions $R \rightarrow R$ but infinitely many elements in $R[x]$ so by the Pigeonhole Principle there must exist a function $f : R \rightarrow R$ such that there are infinitely many polynomials whose corresponding function is f .

Lemma 4.7. Let R, S be commutative rings with identity and let $\varphi : R \rightarrow S$ be a (unital) ring homomorphism. For every $s \in S$, there exists a unique (unital) ring homomorphism $\varphi_s : R[x] \rightarrow S$ such that $\varphi_s(x) = s$ and $\varphi_s(r) = \varphi(r)$ for all $r \in R$.

4.2 Division Algorithm for Polynomials

Definition 4.8. Let $a \in R[z] \setminus \{0_{R[x]}\}$. The *degree* of a , denoted $\deg(a)$, is the largest n for which $a_n \neq 0_R$; this a_n is called the *leading coefficient* of a , denoted $\text{lc}(a)$. If $\text{lc}(a) = 1_R$, then a is *monic*. By definition,

$$\text{lc}(a) = a_{\deg(a)} \neq 0_R$$

for all $a \neq 0_{R[x]}$.

Lemma 4.9. Let R be a commutative ring with identity and let $a, b \in R[z] \setminus \{0_{R[x]}\}$.

- (i) If $a +_{R[x]} b \neq 0_{R[x]}$, then $\deg(a +_{R[x]} b) \leq \max(\deg(a), \deg(b))$.
- (ii) If $a \cdot_{R[x]} b \neq 0_{R[x]}$, then $\deg(a \cdot_{R[x]} b) \leq \deg(a) + \deg(b)$.
- (iii) If $\text{lc}(a) \cdot_R \text{lc}(b) \neq 0_R$, then $a \cdot_{R[x]} b \neq 0_{R[x]}$ and $\deg(a \cdot_{R[x]} b) = \deg(a) + \deg(b)$ and $\text{lc}(a \cdot_{R[x]} b) = \text{lc}(a) \cdot_R \text{lc}(b)$.

Lemma 4.10. Let R be a ring and let $a, b \in R[x] \setminus \{0_{R[x]}\}$. If $\text{lc}(b)$ is a non-zero divisor and $\deg(b) > \deg(a)$, then b does not divide a .

Lemma 4.11. If R is an integral domain, then $R[x]$ is an integral domain.

Theorem 4.12 (Division Algorithm for Polynomials). Let R be a commutative ring with identity, let $a, b \in R[x]$ with $b \neq 0_{R[x]}$. If $\text{lc}(b)$ is a unit (of R), then exist unique $q, r \in R[x]$ such that:

- (i) $a = bq + r$,
- (ii) either $r = 0$ or $\deg(r) < \deg(b)$.

Theorem 4.13. Let F be a field, let $a, b \in F[x]$ be polynomials (not both 0). There exists a unique polynomial $g \in F[x]$ such that:

- (i) g is monic ($\text{lc}(g) = 1$);
- (ii) g is a common divisor of a, b
- (iii) g is a $F[x]$ -linear combination of a, b .

Definition 4.14. Let F be a field and let $a, b \in F[x]$ (not both 0). The polynomial g in Theorem 4.13 is called the *greatest common divisor* of a, b , denoted $\gcd(a, b)$.

Remark. The Euclidean algorithm for integers also works for $F[x]$.

Lemma 4.15. Let F be a field, and let $a, b, c \in F[x]$. If $a \mid bc$ and $\gcd(a, b) = 1$, then $a \mid c$.

4.3 Unique Factorization in $F[x]$

Lemma 4.16. Let R be an integral domain and let $a \in R[x]$ be a polynomial. Then a is a unit (of $R[x]$) if and only if $\deg(a) = 0$ and a_0 is a unit (of R).

Lemma 4.17. Let F be a field, let $a \in F[x]$ be a nonzero polynomial. Then a is a unit if and only if $\deg(a) = 0$.

Lemma 4.18. Let F be a field and let $p \in F[x]$ be a polynomial. The following are equivalent:

- (i) p is irreducible;
- (ii) p is prime;
- (iii) there does not exist $b, c \in F[x]$ such that $p = bc$ and $\deg(b), \deg(c) \geq 1$.

Lemma 4.19. Let F be a field, and let $p \in F[x]$ be a polynomial.

- (i) If $\deg(p) = 1$, then p is irreducible.
- (ii) If $\deg(p) = 2$ or 3 , then p is irreducible if and only if p does not have a factor of degree 1.

Definition 4.20. Let R be a commutative ring with identity, and let $a, b \in R$. We say that a and b are *associates* if there exists a unit $u \in R$ such that $a = ub$.

Lemma 4.21. Let R be a commutative ring with identity and suppose a and b are associates. For any $c \in R$, we have:

- (i) $c \mid a \Leftrightarrow c \mid b$, i.e. a, b have the same divisors;
- (ii) $a \mid c \Leftrightarrow b \mid c$, i.e. a, b have the same multiples.

Lemma 4.22. Let R be an integral domain. If a is a non-zero prime element, then a is irreducible.

Lemma 4.23. Let R be an integral domain, and let $a, b \in R$ be non-zero elements. If $a \mid b$ and $b \mid a$, then a and b are associates.

Remark. In \mathbb{Z} , every non-zero integer is associates with a unique positive integer (divide by the sign of the integer). In $F[x]$, every non-zero polynomial is associates with a unique monic polynomial (dividing by the leading coefficient).

Lemma 4.24. Let F be a field. Every monic polynomial in $F[x]$ is a product of monic irreducible polynomials.

Definition 4.25. Let F be a field, let M_F be the set of monic polynomials in $F[x]$. Let $P_F \subset M_F$ be the subset of monic irreducible polynomials in $F[x]$. Define

$$S_F = \{\text{functions } e : P_F \rightarrow \mathbb{Z}_{\geq 0} \text{ such that } e^{-1}(\mathbb{Z}_{\geq 1}) \text{ is finite}\},$$

and define the function $\varphi : S_F \rightarrow M_F$ by

$$\varphi(e) = \prod_{p \in e^{-1}(\mathbb{Z}_{\geq 1})} p^{e(p)}$$

for all $e \in S_F$.

Lemma 4.26. We have

$$\varphi(e + f) = \varphi(e) \cdot \varphi(f)$$

for all $e, f \in S_F$.

Theorem 4.27 (Unique factorization in $\mathbf{F}[x]$). The map φ is a bijection.

Corollary 4.28. Let F be a field and let $a, b \in M_F$. Let $e, f \in S_F$ such that $\varphi(e) = a$ and $\varphi(f) = b$. Then $b \mid a$ if and only if $e(p) \leq f(p)$ for all $p \in P_F$.

4.4 Factors of Degree One

Definition 4.29. Let R be a commutative ring with identity, let $a \in R$. There is a ring homomorphism $ev_a : R[x] \rightarrow R$ defined by

$$ev_a \left(\sum_{i \geq 0} f_i x^i \right) = \sum_{i \geq 0} f_i a^i$$

for all $f = \sum_{i \geq 0} f_i x^i \in R[x]$. This is called the *evaluation map* at $x = a$. The expression is denoted $f(a)$.

Lemma 4.30. Let R be a commutative ring with identity. Let $f \in R[x]$ and let $a \in R$. Then

- (i) $x - a \mid f - f(a)$;
- (ii) the remainder upon dividing f by $x - a$ is $f(a)$;
- (iii) $x - a \mid f$ if and only if $f(a) = 0$.

Definition 4.31. If condition (iii) is true in Lemma 4.30, we say that a is a *root* (or *zero*) of f .

Lemma 4.32. Let R be an integral domain, and let $f \in R[x] \setminus \{0\}$, and let $n = \deg(f)$. Then

- (i) f has at most n distinct roots;
- (ii) if f has exactly n distinct roots r_1, \dots, r_n , then

$$f = \text{lc}(f) \cdot (x - r_1) \cdots (x - r_n).$$

Lemma 4.33. Let F be a field, and let $f \in F[x] \setminus \{0\}$.

- (i) If f is irreducible and $\deg(f) \geq 2$, then f has no root in F .
- (ii) If f has no root in F and $\deg(f) = 2$ or 3 , then f is irreducible.

Remark. There's no systematic way of finding roots of a polynomial that works for every field F and every polynomial $f \in F[x]$. There exist quadratic, cubic, and quartic formulas that give expressions for the roots of f , but a caveat is that these work only when 2, 6, 6 are units of F , respectively.

4.5 Factoring in $\mathbb{Q}[x]$

Remark. For every $f \in \mathbb{Q}[x]$, there exists $n \in \mathbb{Z}_{n \geq 1}$ such that $nf \in \mathbb{Z}[x]$ (where n is the least common multiple of the denominators of the coefficients of f). Note that n is a unit of $\mathbb{Q}[x]$, so f, nf are associates in $\mathbb{Q}[x]$, so they have the same factors in $\mathbb{Q}[x]$.

To factor a polynomial $f \in \mathbb{Q}[x]$ of degree 2 or 3, it is enough to check whether it has any roots in \mathbb{Q} .

Theorem 4.34. Let $f = f_0 + f_1x + \cdots + f_nx^n \in \mathbb{Z}[x]$, and let $r \in \mathbb{Q}$ be a non-zero root of f . If $r = p/q$ for some $p, q \in \mathbb{Z}$ and $\gcd(p, q) = 1$, then $q \mid f_n$ and $p \mid f_0$.

Lemma 4.35. Let R be a commutative ring with identity. If $p \in R$ is prime, then p is prime in $R[x]$.

Definition 4.36. Let $f = f_0 + f_1x + \cdots + f_nx^n \in \mathbb{Z}[x]$ be a polynomial. We say that f is primitive if $\gcd(f_0, f_1, \dots, f_n) = 1$.

Lemma 4.37. If $f, g \in \mathbb{Z}[x]$ are primitive, then $f \cdot g$ is primitive.

Lemma 4.38. Let $f, g \in \mathbb{Z}[x]$ and suppose $n \in \mathbb{Z}_{\geq 1}$ such that $n \mid fg$. Then there exist $a, b \in \mathbb{Z}_{\geq 1}$ such that $n = ab$ and $a \mid f$ and $b \mid g$ (in $\mathbb{Z}[x]$).

Lemma 4.39. Let $f \in \mathbb{Z}[x]$ be a polynomial and let $m, n \in \mathbb{Z}_{\geq 0}$. The following are equivalent:

- (i) There exist $g, h \in \mathbb{Z}[x]$ such that $f = gh$ and $\deg(g) = m$ and $\deg(h) = n$.
- (ii) There exist $g', h' \in \mathbb{Q}[x]$ such that $f = g'h'$ and $\deg(g') = m$ and $\deg(h') = n$.

Theorem 4.40. Let $f \in \mathbb{Z}[x]$ be a primitive polynomial. Then f is irreducible in $\mathbb{Z}[x]$ if and only if f is irreducible in $\mathbb{Q}[x]$.

Remark. In Theorem 4.40, the hypothesis “primitive” is required because there are non-units of $\mathbb{Z}[x]$ that becomes units in $\mathbb{Q}[x]$, namely the non-units of \mathbb{Z} , viewed as constant polynomials in $\mathbb{Z}[x]$. Moreover, “prime” and “irreducible” are relative properties, i.e. we must always specify what ring we’re considering.

Theorem 4.41 (Eisenstein’s Criterion). Let $f = f_0 + f_1x + \cdots + f_nx^n \in \mathbb{Z}[x]$ be a polynomial with $\deg(f) = n$. If there exists a prime $p \in \mathbb{Z}$ such that

- (i) $p \nmid f_n$,
- (ii) $p \mid f_i$ for all $i = 0, \dots, n - 1$, and
- (iii) $p^2 \nmid f_0$,

then f is irreducible in $\mathbb{Q}[x]$.

Lemma 4.42. The function $f : \mathbb{Z}[x] \rightarrow (\mathbb{Z}/p\mathbb{Z})[x]$ sending

$$f = f_0 + f_1x + \cdots + f_nx^n \rightarrow \bar{f} = [f_0] + [f_1]x + \cdots + [f_n]x^n.$$

Theorem 4.43. Let $f \in \mathbb{Z}[x]$ and suppose there exists a prime $p \in \mathbb{Z}$ such that $p \nmid \text{lc}(f)$ and $\bar{f} \in (\mathbb{Z}/p\mathbb{Z})[x]$ is irreducible. Then f is irreducible in $\mathbb{Q}[x]$.

Remark. The Theorem 4.43 is not always enough, i.e. there are polynomials $f \in \mathbb{Z}[x]$ which are irreducible in $\mathbb{Q}[x]$ but not irreducible in $(\mathbb{Z}/p\mathbb{Z})[z]$ for all primes $p \in \mathbb{Z}$.

4.6 Factoring in $\mathbb{C}[x]$

Definition 4.44. A field F is called *algebraically closed* if every non-constant polynomial $f \in F[x]$ has a root.

Theorem 4.45 (Fundamental Theorem of Algebra). The field \mathbb{C} is algebraically closed.

Lemma 4.46. Let F be an algebraically closed field.

- (i) A polynomial $f \in F[x]$ is irreducible if and only if $\deg(f) = 1$.
- (ii) Every polynomial $f \in F[x]$ factors as

$$f = \text{lc}(f) \cdot (x - r_1) \cdots (x - r_n)$$

for some $r_1, \dots, r_n \in F$.

4.7 Factoring in $\mathbb{R}[x]$

Remark. To factor $f \in \mathbb{R}[x]$, we first factor f in $\mathbb{C}[x]$, then map back to $\mathbb{R}[x]$.

Definition 4.47. Let $\sigma : \mathbb{C} \rightarrow \mathbb{C}$ denote the *complex conjugate* map, defined by $\sigma a + bi = a - bi = \overline{a + bi}$ for any $a, b \in \mathbb{R}$. For any $x \in \mathbb{C}$, we denote $\sigma(x)$ by \bar{x} .

Lemma 4.48. The complex conjugate map is a ring isomorphism. For any $x \in \mathbb{C}$, we have $x = \bar{\bar{x}}$ if and only if $x \in \mathbb{R}$.

Lemma 4.49. Let $f \in \mathbb{R}[x]$ and let $r \in \mathbb{C}$. Then r is a root of f if and only if \bar{r} is a root of f .

Theorem 4.50. If a polynomial $f \in \mathbb{R}[x]$ satisfies one of

- (i) $\deg(f) = 1$,
- (ii) $\deg(f) = 2$ and $f = a_2x^2 + a_1x + a_0$ where $a_1^2 - 4a_2a_0 < 0$.

then f is irreducible (in $\mathbb{R}[x]$). Furthermore, every irreducible polynomial in $\mathbb{R}[x]$ satisfies (i) or (ii).

Lemma 4.51. If $f \in \mathbb{R}[x]$ has odd degree, then f has a root (in \mathbb{R}).

5 The Ring $F[x]/p$

5.1 Congruence mod p and the Definition of $F[x]/p$

Definition 5.1. We say $f, g \in F[x]$ are *congruent modulo p* , written $f \equiv g \pmod{p}$, if $p \mid f - g$ in $F[x]$.

Lemma 5.2. Congruence modulo p defines an equivalence relation on $F[x]$, i.e.

- (i) $f \equiv f \pmod{p}$;
- (ii) $f \equiv g \pmod{p}$ if and only if $g \equiv f \pmod{p}$;
- (iii) if $f \equiv g \pmod{p}$, $g \equiv h \pmod{p}$, then $f \equiv h \pmod{p}$.

Definition 5.3. The *congruence class* of $f \pmod{p}$ is

$$[f] = \{g \in F[x] : g \equiv f \pmod{p}\}.$$

Lemma 5.4. Let $f, g \in F[x]$. Then

- (i) $f \equiv g \pmod{p}$ if and only if $[f] = [g]$;
- (ii) either $[f] \cap [g] = \emptyset$ or $[f] = [g]$.

Definition 5.5. We define $F[x]/p$ be the set of congruence classes mod p . We define the addition and multiplication laws on $F[x]/p$ to be:

$$[f] +_{F[x]/p} [g] = [f + g] \quad [f] \cdot_{F[x]/p} [g] = [f \cdot g]$$

for any $f, g \in F[x]$. This is well-defined by similar argument to Theorem 2.10.

5.2 Description of $F[x]/p$

Definition 5.6. For any $n \geq 0$, let $F[x]_{<n}$ denote the set of polynomials $f \in F[x]$ such that $f_i = 0$ for all $i \geq n$.

Theorem 5.7. Let $n = \deg(p)$, and let

$$\varphi : F[x]_{<n} \rightarrow F[x]/p$$

be the function defined by $\varphi(a) = [a]$ or all $a \in F[x]_{<n}$. Then φ is an isomorphism of F -vector spaces.

Remark. Note that $F[x]_{<1}$ are just constant polynomials of $F[x]$. In particular if $\deg(p) \geq 1$, the composition

$$F \simeq F[x]_{<1} \subseteq F[x]_{<\deg(p)} \simeq F[x]/p$$

gives an injective function $F \rightarrow F[x]/p$ which is in fact a ring homomorphism.

5.3 Conditions when $F[x]/p$ is an Integral Domain / Field

Lemma 5.8. For $f \in F[x]$, the following are equivalent:

- (i) $\gcd(f, p) = 1$;
- (ii) $[f]$ is a non-zero divisor of $F[x]/p$;
- (iii) f is a unit of $F[x]/p$.

Lemma 5.9. The following are equivalent:

- (i) p is irreducible;
- (ii) $F[x]/p$ is an integral domain;
- (iii) $F[x]/p$ is a field.

5.4 Field Extensions and Roots

Lemma 5.10. The ring $K = F[x]/p$ contains a root of p .

Definition 5.11. If $F \rightarrow K$ is a unital ring homomorphism of fields, we say that K is a *field extension* of F .

Lemma 5.12. Let F be a field, and let $f \in F[x] \setminus \{0\}$ be a monic polynomial with $\deg(f) \geq 1$.

- (i) There exists a field extension K of F such that f has root in K .
- (ii) There exists a field extension K of F such that there exist $r_1, \dots, r_n \in K$ with

$$f = (x - r_1) \cdots (x - r_n)$$

in $K[x]$.

6 Ideals and Quotient Rings

6.1 Ideals

Definition 6.1. Let R be a ring, and let I be a nonempty subset of R . We say that I is an *ideal* (of R) if it satisfies the following conditions:

- (i) if $a_1, a_2 \in I$, then $a_1 + a_2 \in I$;
- (ii) if $r \in R$ and $a \in I$, then $ra \in I$.

Lemma 6.2. Let R be a ring, and let I be an ideal of R . If $r_1, \dots, r_n \in R$, and $a_1, \dots, a_n \in I$, then

$$r_1 a_1 + \cdots + r_n a_n \in I.$$

Lemma 6.3. Let R be a ring, and let $a_1, \dots, a_n \in R$ be elements of R . Then the subset

$$(a_1, \dots, a_n) = \{r_1 a_1 + \cdots + r_n a_n : r_1, \dots, r_n \in R\}$$

is an ideal of R .

Definition 6.4. Let R be a ring, and let I be an ideal of R . If there exist elements $a_1, \dots, a_n \in I$ such that

$$I = (a_1, \dots, a_n)$$

then we say that I is *finitely generated*, and that I is *generated by* a_1, \dots, a_n and $\{a_1, \dots, a_n\}$ is a *generating set* of I . If there exists a single $a \in R$ such that $I = (a)$, we say that I is a *principal ideal*.

Definition 6.5. If R is a ring for which every ideal is finitely generated, we say that R is a *Noetherian ring*.

Lemma 6.6. Let R be an integral domain, and let $a, b \in R$ be nonzero elements.

- (i) We have $(a) \subseteq (b) \Leftrightarrow a \in (b) \Leftrightarrow b \mid a$.
- (ii) We have $(a) = (b) \Leftrightarrow a, b$ are associates.

Lemma 6.7 (\mathbb{Z} is a Principal Ideal Domain). Consider the ring $R = \mathbb{Z}$.

- (i) Every ideal I of \mathbb{Z} is a principal ideal.
- (ii) For any $a_1, \dots, a_n \in \mathbb{Z}$, we have

$$(a_1, \dots, a_n) = (\gcd(a_1, \dots, a_n))$$

as ideals of \mathbb{Z} .

Lemma 6.8 ($F[x]$ is a Principal Ideal Domain). Let F be a field, and consider the ring $R = F[x]$.

- (i) Every ideal I of $F[x]$ is a principal ideal.
- (ii) For any $a_1, \dots, a_n \in F[x]$, we have

$$(a_1, \dots, a_n) = (\gcd(a_1, \dots, a_n))$$

as ideals of $F[x]$.

Lemma 6.9. Let R be a ring. Let $\{a_1, \dots, a_n\}, \{a'_1, \dots, a'_n\} \subset R$ be two subset of R such that $\{a'_1, \dots, a'_n\}$ is obtained from $\{a_1, \dots, a_n\}$ by doing a finite number of elementary operations:

- (i) multiply some a_i by a unit $u \in R$;
- (ii) switch a_i and a_j for some $i, j \in \{1, \dots, n\}$;
- (iii) replace a_j by $a_j + ra_i$ for distinct $i, j \in \{1, \dots, n\}$ and $r \in R$.

Then

$$(a_1, \dots, a_n) = (a'_1, \dots, a'_n)$$

as ideals of R , i.e. the ideals generated by $\{a_1, \dots, a_n\}$ and $\{a'_1, \dots, a'_n\}$ are equal.

Remark. An ideal I often has more than one generating set, so the general goal is to find the “minimal” generating set of an ideal. To reduce a generate, eliminate any element of the generating set that is zero or a linear combination of others.

Lemma 6.10. Let R be a ring, and let

$$I_1 \subseteq I_2 \subseteq I_3 \subseteq \dots$$

be an infinite sequence of inclusions of ideals of R . Then the union

$$I = \bigcup_{n \in \mathbb{N}} I_n$$

is an ideal of R .

6.2 Congruence (mod I) and the Definition of R/I

Definition 6.11. Let R be a ring, and let I be an ideal of R . We say that a, b are *congruent modulo I* , written $a \equiv b \pmod{I}$ if $a - b \in I$.

Lemma 6.12. Congruence modulo I defines an equivalence relation of R , i.e.

- (i) $a \equiv a \pmod{I}$;
- (ii) $a \equiv b \pmod{I}$ if and only if $b \equiv a \pmod{I}$;
- (iii) if $a \equiv b \pmod{I}$, $b \equiv c \pmod{I}$, then $a \equiv c \pmod{I}$.

Definition 6.13. The *congruence class of a modulo I* is the set

$$a + I = \{b \in R : b \equiv a \pmod{I}\}.$$

Lemma 6.14. Let $a, b \in R$.

- (i) We have $a \equiv b \pmod{I}$ if and only if $a + I = b + I$.
- (ii) Either $a + I \cap b + I = \emptyset$ or $a + I = b + I$.

Definition 6.15. For a ring R and ideal I of R , the quotient ring of R by I is

$$R/I = \{\text{congruence classes modulo } I\}.$$

The addition and multiplication in R/I is defined as follows:

$$\begin{aligned} (a + I) +_{R/I} (b + I) &= (a +_R b) + I \\ (a + I) \cdot_{R/I} (b + I) &= (a \cdot_R b) + I \end{aligned}$$

for any $a, b \in R$. This is well-defined by a similar argument to Theorem 2.10. In R/I , the additive identity is $0_{R/I} = 0 + I$, and the multiplicative identity $1_{R/I} = 1 + I$. Furthermore, R/I is commutative.

Definition 6.16. The quotient ring R/I comes with a special ring homomorphism

$$\pi : R \rightarrow R/I$$

defined by $\pi(r) = r + I$. This is called the *natural homomorphism* from R to R/I .

Lemma 6.17. Let $f : R \rightarrow S$ be a ring homomorphism. If J is an ideal of S , then the preimage $f^{-1}(J)$ is an ideal (of R).

Definition 6.18. Let $f : R \rightarrow S$ be a ring homomorphism. The *kernel* of f is $\ker(f) = f^{-1}(\{0_S\})$.

Lemma 6.19. Let $f : R \rightarrow S$ be a ring homomorphism. Then $\{0_R\} \subset \ker(f)$, and f is injective if and only if $\ker(f) = \{0_R\}$.

Theorem 6.20. Let $f : R \rightarrow S$ be a ring homomorphism with kernel $K = \ker(f)$.

- (i) There exists a unique ring homomorphism

$$\bar{f} : R/K \rightarrow S$$

such that $\bar{f}(a + K) = f(a)$ for all $a \in R$.

- (ii) The ring homomorphism \bar{f} is injective.

(iii) If f is surjective, then \bar{f} is an isomorphism.

Remark. There exists a bijective correspondence between:

- (i) ideals of R , and
- (ii) equivalence classes of pairs (S, f) where S is ring and $f : R \rightarrow S$ is a surjective ring homomorphism, where two pairs (S_1, f_1) and (S_2, f_2) are defined to be equivalent if there exists a ring isomorphism $\varphi : S_1 \rightarrow S_2$ such that $\varphi f_1 = f_2$.

6.3 Prime and Maximal Ideals

Definition 6.21. Let R be a ring, and let P be an ideal of R such that $P \neq R$. We say that P is *prime ideal* if $bc \in P$ implies either $b \in P$ or $c \in P$.

Lemma 6.22. Let R be a ring. Let $p \in R$ be an element, and let $P = (p)$ be the ideal generated by p . The following are equivalent:

- (i) P is a prime ideal;
- (ii) p is prime element.

Definition 6.23. Let R be a ring, and let M be an ideal of R such that $M \neq R$. We say that M is a *maximal ideal* if the only ideals J of R satisfying $M \subseteq J \subseteq R$ are $J = M$ and $J = R$.

Lemma 6.24. Let R be a ring, and let I be an ideal of R such that $I \neq R$.

- (i) I is a prime ideal if and only if R/I is an integral domain;
- (ii) I is a maximal ideal if and only if R/I is a field.

Lemma 6.25. Let R be a non-zero ring, and let $\{0_R\}$ denote the zero ideal of R .

- (i) $\{0_R\}$ is a prime ideal if and only if R is an integral domain.
- (ii) $\{0_R\}$ is a maximal ideal if and only if R is a field.

Lemma 6.26. In a ring R , every maximal ideal is a prime ideal.

Definition 6.27. Let R be a ring. The *dimension* of R , written $\dim(R)$ is the largest nonnegative integer d for which there exists a stricting increasing sequence

$$P_0 \subset P_1 \subset \cdots \subset P_{d-1} \subset P_d$$

of prime ideal of R . It is often convenient to defined the dimension of the zero ring to be $-\infty$.

Remark. As a converse to Lemma 6.26, we have $\dim(R) = 0$ if and only if every prime ideal of R is a maximal ideal.

7 Groups

7.1 Definition

Definition 7.1. A *group* is a set G equipped with a function

$$*_G : G \times G \rightarrow G$$

satisfying the following conditions:

(i) (associative) For all $g_1, g_2, g_3 \in G$,

$$(g_1 *_G g_2) *_G g_3 = g_1 *_G (g_2 *_G g_3).$$

(ii) (identity) There exists $e \in G$ such that

$$e *_G g = g *_G e = g$$

for all $g \in G$.

(iii) (inverse) For all $g \in G$, there exists $h \in G$ such that

$$g *_G h = h *_G g = e$$

in G .

The function $*_G$ is called the *group law* (or *law of composition*). We say that G is an *abelian group* if, in addition, $*_G$ satisfies

(iv) (commutative) For all $g_1, g_2 \in G$, we have

$$g_1 *_G g_2 = g_2 *_G g_1.$$

Remark. A goal in group theory is to classify/enumerate all groups of a given order (up to isomorphism).

Definition 7.2. If the set G (in definition 7.1) is finite, we say that G is a *finite group*. The number of elements in G is called the *order* and is denoted $|G|$. If G is not finite, it is called an *infinite group*.

7.2 Examples

Example 7.3. The *trivial group* (or *zero group*) contains just one element.

Example 7.4. Let X be a set. A *permutation* of X is a bijective function $\sigma : X \rightarrow X$. The *symmetric group* associated to X (denoted $S(X)$) is the set of all permutations of X , with the group law given by composition of functions, i.e., $\sigma *_S \sigma_2 = \sigma_1 \cdot \sigma_2$. The identity $e_{S(X)}$ is the identity function $\text{id}_X : X \rightarrow X$. If X is finite, then $|S(X)| = |X|!$. If X is infinite, then $S(X)$ is an uncountably infinite set.

Example 7.5. As a special case of example 7.4, let n be a positive integer; then the group of permutations of the set $X = 1, \dots, n$ is called the *symmetric group* of degree n (denoted S_n). Since X contains n elements, we have $|S_n| = n!$ for all n .

Example 7.6. Let P_n be a regular n -gon, which we can view as the convex hull of the n th roots of unity $e^{\frac{2\pi i}{n}k}$ for $k = 0, 1, \dots, n - 1$. The group of symmetries of P_n is called the *dihedral group* of degree n (and denoted D_n). There are two symmetries that can generate all other symmetries of P : (i) rotation by $2\pi/n$ radians, or (ii) reflect across the x -axis.

Example 7.7. For a (possibly noncommutative) ring R , we can view R as a group under the addition law. Since the addition law of a ring is always commutative, the group $(R, +_R)$ is an abelian group.

Example 7.8. For a (possibly noncommutative) ring R , the units of R , R^\times is a group under the multiplication law \cdot_R of R .

Example 7.9. For a commutative ring R with identity, the set of units of $\text{Mat}_{n \times n}(R)$, i.e., the set of invertible $n \times n$ matrices with entries in R , is denoted

$$\text{GL}_n(R) = (\text{Mat}_{n \times n}(R))^\times,$$

and called the *general linear group of degree n* associated to R . If $n \geq 2$, then $\text{GL}_n(R)$ is non-abelian.

Example 7.10. Given two groups $(G, *_G)$ and $(H, *_H)$, the Cartesian product

$$G \times H = \{(g, h) : g \in G, h \in H\}$$

has a natural group law, given by

$$(g, h) *_G \times H (g', h') = (g *_G g', h *_H h')$$

for all $g, g' \in G$ and $h, h' \in H$. More generally, for any collection of groups G_1, \dots, G_n , the group law on the direct product $G = G_1 \times \dots \times G_n$ is defined by

$$(g_1, \dots, g_n) *_G (g'_1, \dots, g'_n) = (g_1 *_G g'_1, \dots, g_n *_G g'_n)$$

for all $g_i, g'_i \in G$. As a special case, for any group G and any positive integer n , we define G^n to be the n -fold direct product $G \times \dots \times G$.

7.3 Properties

Lemma 7.11. Let G be a group.

- (i) (uniqueness of identity) There exists only one element $e \in G$ satisfying (ii) in definition 7.1.
- (ii) (uniqueness of inverse) For any $g \in G$, there exists only one element $h \in G$ satisfying (iii) in definition 7.1.

We denote the element of G satisfying (iii) in definition 7.1 by g^{-1} .

Lemma 7.12. Let G be a group and $g, h \in G$. We have

- (i) $(gh)^{-1} = h^{-1}g^{-1}$;
- (ii) $(g^{-1})^{-1} = g$.

We can generalize (i):

$$(g_1 g_2 \cdots g_n)^{-1} = g_n^{-1} \cdots g_2^{-1} g_1^{-1}$$

for any $g_1, \dots, g_n \in G$.

Remark. Let G be a group and $g \in G$. If n is a positive integer, then

$$g^n = g \cdot g \cdots g \text{ (} n \text{ factors)}.$$

We also define $g^0 = e$ and

$$g^{-n} = g^{-1} \cdot g^{-1} \cdots g^{-1} \text{ (} n \text{ factors)}.$$

Lemma 7.13. Let G be a group and let $g \in G$. Then for all $m, n \in \mathbb{Z}$,

$$a^m a^n = a^{m+n} \quad \text{and} \quad (a^m)^n = a^{mn}.$$

Lemma 7.14. Let G be a group and $g_1, g_2, h \in G$ be elements.

- (i) If $g_1 h = g_2 h$, then $g_1 = g_2$;
- (ii) If $h g_1 = h g_2$, then $g_1 = g_2$.

Definition 7.15. Let G be a group and $g \in G$ be an element. If there exists a positive integer $n \in \mathbb{Z}_{\geq 1}$ such that $g^n = e$, then g is said to have *finite order*; the smallest n satisfying $g^n = e$ is called the *order* of g and is denoted $\text{ord}(g)$. If g does not have finite order, we say that g has *infinite order*.

Lemma 7.16. Let G be a group and $g \in G$ be an element. If there exist distinct $i, j \in \mathbb{Z}$ such that $g^i = g^j$, then g has finite order.

Lemma 7.17. If G is a finite group, every element of G has finite order.

Lemma 7.18. Let G be a group and let $g \in G$ be an element of order $\text{ord}(g) = n$.

- (i) For an integer $k \in \mathbb{Z}$, we have $g^k = e \Leftrightarrow n \mid k$.
- (ii) For any integers $i, j \in \mathbb{Z}$, we have $g^i = g^j \Leftrightarrow i \equiv j \pmod{n}$.
- (iii) For any positive integer $t \in \mathbb{Z}_{\geq 1}$, we have $\text{ord}(g^t) = n / \text{gcd}(n, t)$.

Lemma 7.19. Let G be a group, and let $a, b \in G$ be elements such that $ab = ba$. If $\text{gcd}(\text{ord}(a), \text{ord}(b)) = 1$, then $\text{ord}(ab) = \text{ord}(a) \cdot \text{ord}(b)$.

Remark. If $ab \neq ba$, then it can happen that a and b have finite order, but ab has infinite order.

Lemma 7.20. Let G be an abelian group such that every element of G has finite order. If there exists an element $c \in G$ such that $\text{ord}(g) \leq \text{ord}(c)$ for all $g \in G$, then in fact $\text{ord}(g) \mid \text{ord}(c)$ for all $g \in G$.

Theorem 7.21. Let G and H be groups. Define an operation $*_{G \times H}$ by

$$(g, h) *_{G \times H} (g', h') = (g *_G g', h *_H h').$$

Then $G \times H$ is a group. If G and H are abelian, then so is $G \times H$. If G and H are finite, then so is $G \times H$ and $|G \times H| = |G||H|$.

7.4 Subgroups

Definition 7.22. Let G be a group and let $H \subset G$ be a subset. We say that H is a *subgroup* of G if it satisfies the following conditions:

- (i) (identity) $e_G \in H$;
- (ii) (closed under multiplication) If $h_1, h_2 \in H$, then $h_1 *_G h_2 \in H$;
- (iii) (closed under inverse) If $h \in H$, then $h^{-1} \in H$.

Every subgroup H of G is itself a group, where the group law $*_H : H \times H \rightarrow H$ is inherited from, i.e., equal to, that of G .

Lemma 7.23. Let G be a group. Then the subset

$$Z(G) = \{a \in G : ag = ga \text{ for all } g \in G\}$$

is a subgroup, called the *center* of G .

7.5 Homomorphisms

Definition 7.24. Let $(G, *_G)$ and $(H, *_H)$ be groups. A function $\varphi : G \rightarrow H$ is a *group homomorphism* if

$$\varphi(g_1 *_G g_2) = \varphi(g_1) *_H \varphi(g_2)$$

for all $g_1, g_2 \in G$.

Example 7.25. If H is a subgroup of G , we have a group homomorphism $H \rightarrow G$ defined by $h \rightarrow h$.

Example 7.26. If G is an abelian group, then the n th power map $\mu_n : G \rightarrow G$ defined by $\mu_n(g) = g^n$ is a group homomorphism.

Lemma 7.27. Let $\varphi : G \rightarrow H$ be a group homomorphism.

- (i) $\varphi(e_G) = e_H$;
- (ii) For all $g \in G$, we have $\varphi(g^{-1}) = (\varphi(g))^{-1}$.

Lemma 7.28. If $\varphi : G \rightarrow H$ and $\psi : H \rightarrow K$ are group homomorphisms, then the composition $\psi \circ \varphi : G \rightarrow K$ is a group homomorphism.

Lemma 7.29. Let $\varphi : G \rightarrow H$ be a group homomorphism.

- (i) For any subgroup $G' \subseteq G$, the image

$$\varphi(G') = \{h \in H : h = \varphi(g') \text{ for some } g' \in G'\}$$

is a subgroup of H .

- (ii) For any subgroup $H' \subseteq H$, the preimage

$$\varphi^{-1}(H') = \{g \in G : \varphi(g) \in H'\}$$

is a subgroup of G .

Lemma 7.30. Let $\varphi : G \rightarrow H$ be a group homomorphism.

- (i) The image

$$\text{im } \varphi = \varphi(G) = \{h \in H : h = \varphi(g) \text{ for some } g \in G\}$$

is a subgroup of H .

- (ii) The kernel

$$\ker \varphi = \varphi^{-1}(e_H) = \{g \in G : \varphi(g) = e_H\}$$

is a subgroup of G .

Definition 7.31. A bijective group homomorphism is called an *isomorphism*. If $G = H$, then φ is an endomorphism of G ; a bijective endomorphism is an *automorphism*. The set of automorphisms of a group is itself a group, denoted $\text{Aut}(G)$.

Lemma 7.32. If $\varphi : G \rightarrow H$ is an isomorphism, then the inverse function $\varphi^{-1} : H \rightarrow G$ is also an isomorphism.

Theorem 7.33. Let G be a group. There exists an injective group homomorphism $G \rightarrow S(G)$.

7.6 Generators

Definition 7.34. Let G be a group, $g \in G$ be an element, and

$$\epsilon_g : \mathbb{Z} \rightarrow G$$

be the function defined by $\epsilon_g(n) = g^n$. Then ϵ_g is a group homomorphism because

$$\epsilon_g(n_1 + n_2) = g^{n_1 + n_2} = g^{n_1} \cdot g^{n_2} = \epsilon_g(n_1) \cdot \epsilon_g(n_2)$$

for all $n_1, n_2 \in \mathbb{Z}$. By lemma 7.30, the image

$$\langle g \rangle = \text{im } \epsilon_g = \{\dots, g^{-2}, g^{-1}, g_0, g_1, g_2, \dots\}$$

is a subgroup of G called the *cyclic subgroup* generated by g . We say that G is a *cyclic group* if $G = \langle g \rangle$ for some $g \in G$, i.e., every element of G is of the form g^n for some n , i.e., ϵ_g is surjective; in this case g is called a *generator* of G .

Lemma 7.35. Let G be a group, $H \subseteq G$ be a subgroup, and $g \in G$ be an element. Then $g \in H$ if and only if $\langle g \rangle \subseteq H$.

Lemma 7.36. If G is a cyclic group and $H \subseteq G$ is a subgroup, then H is cyclic.

Lemma 7.37. Let G be a group, and let $g \in G$ be an element. Then:

- (i) g has finite order if and only if ϵ_g is not injective;
- (ii) g has infinite order if and only if ϵ_g is injective.

Lemma 7.38. Let G be a group and let $g \in G$ be an element.

- (i) If g has finite order, then $\langle g \rangle \cong \mathbb{Z}/(\text{ord}(g))$.
- (ii) If g has infinite order, then $\langle g \rangle \cong \mathbb{Z}$.

Lemma 7.39. Every cyclic group is isomorphic to \mathbb{Z} or $\mathbb{Z}/(n)$ for some n .

Lemma 7.40. If g has finite order, then $\langle g \rangle = \{g^0, g^1, \dots, g^{\text{ord}(g)-1}\}$, so in particular $|\langle g \rangle| = \text{ord}(g)$.

Lemma 7.41. Let G be a finite group. Then G is cyclic if and only if there exists $g \in G$ with $\text{ord}(g) = |G|$.

Lemma 7.42. If g has finite order, then g^k is a generator of $\langle g \rangle$ if and only if $\text{gcd}(k, \text{ord}(g)) = 1$.

Lemma 7.43. Let F be a field and let G be a finite subgroup of F^\times . Then G is cyclic.

Lemma 7.44. For any prime $p \in \mathbb{Z}$, the group of units $(\mathbb{Z}/(p))^\times$ is cyclic, i.e., there is an isomorphism

$$\mathbb{Z}/(p-1) \cong (\mathbb{Z}/(p))^\times$$

of groups.

Remark. In lemma 7.44, a generator of $(\mathbb{Z}/(p))^\times$ is called a *primitive root mod p* because it is a root of the polynomial $x^{p-1} - 1$ whose powers generate all other roots.

Lemma 7.45. Let G be a group and let $S \subset G$ be a subset. Let $\langle S \rangle$ be the set of all elements of G of the form $g = g_1 \cdots g_n$ where, for each i , either g_i or g_i^{-1} is contained in S (if $n = 0$, we define $g = e$). Then $\langle S \rangle$ is a subgroup of G .

Definition 7.46. In lemma 7.45, we call $\langle S \rangle$ the *subgroup generated by S* .

7.7 Symmetric, Alternating Groups

Definition 7.47. For a set X and any permutation $\sigma \in S(X)$, we define the *support* of σ to be the (possibly empty) subset

$$\text{Supp}(\sigma) = \{x \in X : \sigma(x) \neq x\}$$

of X , i.e., the elements of X changed by σ .

Lemma 7.48. Let X be a set. For any permutation $\sigma \in S(X)$, we have

$$\begin{aligned}\sigma(\text{Supp}(\sigma)) &= \text{Supp}(\sigma) \\ \sigma(X \setminus \text{Supp}(\sigma)) &= X \setminus \text{Supp}(\sigma)\end{aligned}$$

in X .

Definition 7.49. We say that two permutations $\sigma, \tau \in S(X)$ are *disjoint* if $\text{Supp}(\sigma) \cap \text{Supp}(\tau) = \emptyset$, i.e., their supports are disjoint, as subsets of X .

Lemma 7.50. Let X be a set and let $\sigma, \tau \in S(X)$.

- (i) If σ, τ are disjoint, then for any $x \notin \text{Supp}(\tau)$, we have $\sigma(\tau(x)) = \tau(\sigma(x)) = \sigma(x)$.
- (ii) If σ, τ are disjoint, then $\sigma\tau = \tau\sigma$.
- (iii) We have $\text{Supp}(\sigma\tau) \subseteq \text{Supp}(\sigma) \cup \text{Supp}(\tau)$. If σ, τ are disjoint, then $\text{Supp}(\sigma\tau) = \text{Supp}(\sigma) \cup \text{Supp}(\tau)$.

Definition 7.51. Let X be a set. We say that a permutation $\sigma \in S(X)$ is a *k-cycle* if there exists a k -element subset $T = \{a_1, \dots, a_k\}$ of X such that

$$\sigma(a_1) = a_2, \dots, \sigma(a_{k-1}) = a_k, \sigma(a_k) = a_1$$

and $\sigma(x) = x$ if $x \notin T$; in this case, we denote $\sigma = (a_1 \cdots a_k)$; here k is the *length* of σ . Note that “0-cycles” and “1-cycles” are just the identity e . If $k = 2$, then σ is called a *transposition*, i.e., a 2-cycle.

Theorem 7.52. Let X be a finite set. For any $\sigma \in S(X)$, there exist pairwise disjoint cycles $\tau_1, \dots, \tau_m \in S(X)$ (of possibly different lengths) such that $\sigma = \tau_1 \cdots \tau_m$ and $\text{Supp}(\sigma) = \text{Supp}(\tau_1) \cup \cdots \cup \text{Supp}(\tau_m)$.

Lemma 7.53. If $\tau_1, \dots, \tau_m \in S_n$ are pairwise disjoint, then

$$\text{ord}(\tau_1 \cdots \tau_m) = \text{lcm}(\text{ord}(\tau_1), \dots, \text{ord}(\tau_m)).$$

Lemma 7.54. If $\sigma \in S(X)$ is a k -cycle (with $k \geq 2$) then $\text{ord}(\sigma) = k$.

Theorem 7.55. If τ_1, \dots, τ_m are pairwise disjoint cycles of length k_1, \dots, k_m , then

$$\text{ord}(\tau_1 \cdots \tau_m) = \text{lcm}(k_1, \dots, k_m).$$

Lemma 7.56. For any $k \geq 2$ and $a_1, \dots, a_k \in X$, we have

$$(a_1 \cdots a_k) = (a_1 a_2) \cdots (a_{k-1} a_k)$$

in $S(X)$.

Theorem 7.57. For any $\sigma \in S_n$, there exist transpositions $\tau_1, \dots, \tau_m \in S_n$ such that $\sigma = \tau_1 \cdots \tau_m$, that is, every permutation is a product of transpositions.

Definition 7.58. For a permutation $\sigma \in S_n$, an *inversion* of σ is a pair of indices (i, j) satisfying $1 \leq i < j \leq n$ and $\sigma(i) > \sigma(j)$. We denote $\text{inv}(\sigma)$ the number of inversions of σ . (Note that $0 \leq \text{inv}(\sigma) \leq n(n-1)/2$ for all $\sigma \in S_n$.)

Lemma 7.59. Let $\sigma, \tau \in S_n$. If τ is a transposition, then $\text{inv}(\tau\sigma) = \text{inv}(\sigma) + 1 \pmod{2}$.

Lemma 7.60. If $\tau_1, \dots, \tau_m \in S_n$ are transpositions, then $\text{inv}(\tau_1 \cdots \tau_m) \equiv m \pmod{2}$.

Theorem 7.61. The function $\text{sgn} : S_n \rightarrow \{\pm 1\}$ defined by $\text{sgn}(\sigma) = (-1)^{\text{inv}(\sigma)}$ is a group homomorphism.

Definition 7.62. If $\text{sgn}(\sigma) = 1$, we say σ is an *even* permutation; if $\text{sgn}(\sigma) = -1$, we say that σ is an *odd* permutation. The *alternating group* of degree n (denoted A_n) is the set of even permutations in S_n , i.e. $A_n = \ker(\text{sgn})$.

8 Normal Subgroups and Quotient Groups

8.1 Cosets

Definition 8.1. Let G be a group, and let H be a subgroup of G . A subset of G is called the *left coset* of H if it is of the form $aH = \{ah : h \in H\}$ for some $a \in G$. The set of left cosets of H in G is denoted G/H . The *index* of H in G is the cardinality $[G : H] = |G/H|$, i.e., the number of distinct left cosets of H in G .

Lemma 8.2. Let G be a group, and let H be a subgroup of G . Every element of G is contained in a left coset of H .

Lemma 8.3. Let G be a group and let H be a subgroup of G . If a_1H, a_2H are two left cosets of H , then either $a_1H \cap a_2H = \emptyset$ or $a_1H = a_2H$.

Lemma 8.4. Let G be a group, and let H be a subgroup of G . Given elements $a, b \in G$, the following are equivalent:

- (i) $aH = bH$;
- (ii) $aH \cap bH = \emptyset$;
- (iii) $a \in bH$;
- (iv) $a = bh$ for some $h \in H$;
- (v) $b^{-1}a \in H$.

Lemma 8.5. Let G be a group, and let H be a subgroup of G . If a_1H, a_2H are two left cosets of H , then $|a_1H| = |a_2H|$.

Theorem 8.6 (Lagrange's Theorem). Let G be a finite group, and let H be a subgroup of G . Then we have $|G| = |H| \cdot [G : H]$ in \mathbb{Z} .

Theorem 8.7. If G is a finite group and $g \in G$, then $\text{ord}(g)$ divides $|G|$.

Theorem 8.8. If p is a prime and $|G| = p$, then $G \cong \mathbb{Z}/(p)$.

Corollary 8.9. If $|G| = 4$, then $G \cong \mathbb{Z}/(4)$ or $G \cong \mathbb{Z}/(2) \times \mathbb{Z}/(2)$.

Corollary 8.10. If $|G| = 6$, then $G \cong \mathbb{Z}/(6)$ or $G \cong S_3$.

8.2 Normal Subgroups

Lemma 8.11. Let G be a group, and let N be a subgroup of G . The following are equivalent:

- (i) for all $g \in G$, we have $gNg^{-1} \subseteq N$;
- (ii) for all $g \in G$, we have $gNg^{-1} = N$;
- (iii) for all $g \in G$, we have $gN = Ng$.

Definition 8.12. If a subgroup $N \subseteq G$ satisfies the conditions in Lemma 8.11, then N is called a *normal subgroup* of G .

Lemma 8.13. Let G be a finite group, and let N be a subgroup, and suppose that $G/N = \{g_1N, \dots, g_mN\}$. If $g_iNg_i^{-1} \subseteq N$ for all $i = 1, \dots, m$, then N is normal in G .

Lemma 8.14. Let G be a group, and let N be a subgroup of G of index 2. Then N is normal in G .

Lemma 8.15. If G is an abelian group, then every subgroup of G is a normal subgroup of G .

Lemma 8.16. Let G be a group. Then any subgroup N of the center $Z(G)$ is a normal subgroup of G .

Lemma 8.17. Let $\varphi : G \rightarrow H$ be a group homomorphism. Let $N \subseteq H$ be a normal subgroup of H . Then the preimage $\varphi^{-1}(N)$ is a normal subgroup of G . In particular, $\ker \varphi = \varphi^{-1}(e_H)$ is a normal subgroup of G .

8.3 Quotient Groups

Lemma 8.18. Let G be a group, and let N be a normal subgroup of G . Then the operation $aN *_{G/N} bN = abN$ is well-defined, and G/N is a group under $*_{G/N}$. The function $\pi : G \rightarrow G/N$ defined by $\pi(g) = gN$ is a group homomorphism, called the *natural homomorphism*.

Lemma 8.19. If G is abelian, then G/N is abelian.

Lemma 8.20. Let G be a group such that $G/Z(G)$ is cyclic. Then G is abelian.

8.4 Isomorphism Theorems

Lemma 8.21. Let $\varphi : G \rightarrow H$ be a group homomorphism, and let N be a normal subgroup of G such that $N \subseteq \ker \varphi$. Let $\pi : G \rightarrow G/N$ be the natural homomorphism.

- (i) There exists a unique group homomorphism $\bar{\varphi} : G/N \rightarrow H$ such that $\varphi = \bar{\varphi} \circ \pi$.
- (ii) $N = \ker \varphi$ if and only if $\bar{\varphi}$ is injective.
- (iii) φ is surjective if and only if $\bar{\varphi}$ is surjective.

Theorem 8.22 (1st Isomorphism Theorem). Let $\varphi : G \rightarrow H$ be a surjective group homomorphism. Then there exist a group isomorphism $\bar{\varphi} : G/\ker \varphi \rightarrow H$ satisfying $\varphi = \bar{\varphi}\pi$.

Theorem 8.23 (2nd Isomorphism Theorem). Let G be a group, and let H, N be subgroups of G . If N is normal in G , then HN is a subgroup of G and there exists an isomorphism

$$\bar{\varphi} : H/(H \cap N) \rightarrow HN/N$$

of quotient groups.

Lemma 8.24. Let $\varphi : G \rightarrow H$ be a surjective group homomorphism. Then there is a bijective correspondence between the subgroups of G containing $\ker \varphi$ and the subgroups of H . Moreover, if N is a subgroup of H , then N is normal in H if and only if $\varphi^{-1}(N)$ is normal in G .

Theorem 8.25 (3rd Isomorphism Theorem). Let G be a group, and let N be a normal subgroup of G .

- (i) Every subgroup of G/N is of the form H/N for subgroup H of G such that $N \subseteq H$.

(ii) If $N \subseteq H$ and H is normal in G , then there exists an isomorphism

$$\varphi : (G/N)/(H/N) \rightarrow G/H$$

of quotient groups.

Definition 8.26. A group G is *simple* if it does not have any proper normal subgroups, i.e., normal subgroups N such that $\{e\} \subset N \subset G$.

Remark. Given a group G , we can take a proper normal subgroup G_1 and study the properties of G_1 and G/G_1 . We may split G_1 and G/G_1 further, recursing until we get a tree of subgroup relations such that the “leaves” of the tree have no proper normal subgroups. We call the simple subgroups the *composition factors* of G .

Theorem 8.27. Every finite simple group is isomorphic to one of the following classes of groups:

- (i) $\mathbb{Z}/(p)$ for some prime p ,
- (ii) A_n for some $n \neq 4$,
- (iii) “groups of Lie type”,
- (iv) “sporadic groups”,
- (v) “Tits group”.

Theorem 8.28. If G is a simple abelian group, then $G \cong \mathbb{Z}/(p)$ for some prime p .

8.5 Alternating Groups are Simple

Lemma 8.29. Let $\sigma \in S_n$ and let $(a_0 a_1 \cdots a_{k-1}) \in S_n$ be a k -cycle. Then

$$\sigma \cdot (a_0 a_1 \cdots a_{k-1}) \cdots \sigma^{-1} = (\sigma(a_0) \sigma(a_1) \cdots \sigma(a_{k-1}))$$

in S_n .

Theorem 8.30. For $n \neq 4$, the alternating group A_n is simple.

Theorem 8.31. For $n \geq 5$, the normal subgroups of S_n are $\{e\}, A_n, S_n$.

9 Topics in Group Theory

9.1 Direct Products

Remark. If $G = G_1 \times G_2$, then G has subgroups $G_1 \times \{e\}$, $\{e\} \times G_2$ isomorphic to G_1, G_2 respectively; these are normal subgroups. Moreover, every $g \in G$ is equal to a product $(g_1, e) \cdot (e, g_2)$ for a unique $(g_1, e) \in G_1 \times \{e\}$ and $(e, g_2) \in \{e\} \times G_2$. In fact, these properties are enough to show that G is a product.

Theorem 9.1. Let G be a group, and suppose there exist normal subgroups N_1, \dots, N_k such that the function $f : N_1 \times \cdots \times N_k \rightarrow G$ defined by $f((n_1, \dots, n_k)) = n_1 \cdots n_k$ is bijective. Then f is an isomorphism.

9.2 Finite Abelian Groups

Definition 9.2. Let G be an abelian group. For a positive integer $n \in \mathbb{Z}_{\geq 1}$, we say that an element $a \in G$ is *n-torsion* if $na = 0$.

Lemma 9.3. Let G be an abelian group.

- (i) If $a \in G$ is n -torsion, then $ma = n/\gcd(m, n)$ for any $m \in \mathbb{Z}$.
- (ii) If $a_1 \in G$ is n_1 -torsion and $a_2 \in G$ is n_2 -torsion, then $a_1 + a_2$ is $\text{lcm}(n_1, n_2)$ -torsion.

Lemma 9.4. Let G be an abelian group. Let $m, n \in \mathbb{Z}$ be positive integers such that $\gcd(m, n) = 1$.

- (i) If $a \in G$ is m -torsion and n -torsion, then $a = 0$.
- (ii) If $a \in G$ is mn -torsion, then there exists $b, c \in G$ such that b is m -torsion, c is n -torsion, and $a = b + c$.

Definition 9.5. Let G be an abelian group. Given a prime p , we define

$$G(p) = \{a \in G : p^r a = 0 \text{ for some } r \geq 0\}.$$

Lemma 9.6. Let G be a finite abelian group of order $|G| = p_1^{e_1} \cdots p_r^{e_r}$. Then the function $f : G(p_1) \times \cdots \times G(p_r) \rightarrow G$ defined by $f((a_1, \dots, a_r)) = a_1 + \cdots + a_r$ is an isomorphism.

Definition 9.7. We say that a group G is a p -group if every element of G has order p^r for some $r \geq 0$.

Lemma 9.8. Let G be a finite abelian p -group, and let $a \in G$ be an element of maximal order. Then there exists a subgroup $K \subseteq G$ such that $G \cong \langle a \rangle \times K$.

Lemma 9.9. Every finite abelian p -group is isomorphic to

$$\mathbb{Z}/(p^{m_1}) \times \cdots \times \mathbb{Z}/(p^{m_r})$$

for some $r \geq 0$ and positive integers $m_1 \geq \cdots \geq m_r$.

Lemma 9.10. Let G, H be abelian groups. Then $p(G \times H) \cong pG \times pH$.

Lemma 9.11. For any $m \geq 1$, we have an isomorphism $p(\mathbb{Z}/(p^m)) \cong \mathbb{Z}/(p^{m-1})$.

Lemma 9.12. Let G, H be abelian groups, and let $f : G \rightarrow H$ be a group homomorphism. For any prime p , we have $f(G(p)) \subseteq H(p)$.

Lemma 9.13. Let p, q be distinct primes. If G is abelian q -group, we have $G(p) = 0$.

Lemma 9.14. Let G, H be abelian groups. Then $(G \times H)(p) \cong G(p) \times H(p)$.

Theorem 9.15. Let G be a finite abelian group of order $n = p_1^{e_1} \cdots p_r^{e_r}$. Then there exist unique partitions $e_i = e_{i,1} + \cdots + e_{i,\lambda_i}$ such that

$$G \cong \prod_{i=1}^r \prod_{j=1}^{\lambda_i} \mathbb{Z}/(p_i^{e_{i,j}}).$$

Lemma 9.16. For any positive integer $n = p_1^{e_1} \cdots p_r^{e_r}$, the number of isomorphism classes of finite abelian groups of order n is

$$N(e_1) \cdots N(e_r)$$

where $N(e)$ denotes the number of partitions of e .

9.3 Group Actions

Definition 9.17. Let G be a group and let X be a set. A *group action* of G on X is a function $\rho : G \times X \rightarrow X$ satisfying the following:

(i) We have

$$\rho(g_1g_2, x) = \rho(g_1, \rho(g_2, x))$$

for all $g_1, g_2 \in G$ and $x \in X$.

(ii) We have

$$\rho(e, x) = x$$

for all $x \in X$.

If X is a set equipped with an action of G , we sometimes say that X is a G -set. If X_1, X_2 are two G -sets, a function $\varphi : X_1 \rightarrow X_2$ is called G -equivariant if $\varphi(gx_1) = g\varphi(x_1)$ for all $x_1 \in X_1$ and $g \in G$. We will also write “ $g \cdot x$ ” or gx to mean $\rho(g, x)$. We will say g fixes x if $gx = x$.

Definition 9.18. For any set X , let

$$\mathcal{P}_k(X) = \{S \in \mathcal{P}(X) : |X| = k\}$$

be the set of subsets of X of size k . Note that we have

$$|\mathcal{P}_k(X)| = \binom{|X|}{k}$$

for any $0 \leq k \leq |X|$.

Definition 9.19. Given a group G and any $0 \leq k \leq |G|$, there are three natural actions on G on $\mathcal{P}_k(G)$:

(i) The function $\rho : G \times \mathcal{P}_k(G) \rightarrow \mathcal{P}_k(G)$ defined by

$$\rho(G, S) = gS = \{gs : s \in S\}$$

is called the *left multiplication action* on G on $\mathcal{P}_k(G)$. If S is a left coset of some subgroup H of order $|H| = k$, then so is gS .

(ii) The function $\rho : G \times \mathcal{P}_k(G) \rightarrow \mathcal{P}_k(G)$ defined by

$$\rho(G, S) = Sg^{-1} = \{sg^{-1} : s \in S\}$$

is called the *right multiplication action* on G on $\mathcal{P}_k(G)$. If S is a right coset of some subgroup H of order $|H| = k$, then so is Sg^{-1} .

(iii) The function $\rho : G \times \mathcal{P}_k(G) \rightarrow \mathcal{P}_k(G)$ defined by

$$\rho(G, S) = gSg^{-1} = \{gsg^{-1} : s \in S\}$$

is called the *conjugation action* of G on $\mathcal{P}_k(G)$. If S is a subgroup of G , then so is gSg^{-1} .

Remark. Let $\rho : G \times X \rightarrow X$ be an action G on X . Given an element $g \in G$, we can define a function $\alpha_g : X \rightarrow X$ by $\alpha_g(x) = gx$. Given $g_1, g_2 \in G$, we have

$$\alpha_{g_1}(\alpha_{g_2}(x)) = g_1(g_2(x)) = (g_1g_2)(x) = \alpha_{g_1g_2}(x),$$

so

$$\alpha_{g_1} \circ \alpha_{g_2} = \alpha_{g_1g_2}$$

in $S(X)$; since

$$\alpha_g \circ \alpha_{g^{-1}} = \alpha_{g^{-1}} \circ \alpha_g = \alpha_e = \text{id}_X,$$

each α_g is a bijection, and moreover the function

$$\alpha : G \rightarrow S(X)$$

defined by $g \rightarrow \alpha_g$ is a group homomorphism.

Conversely, given a group homomorphism $\alpha : G \rightarrow S(X)$, we can construct an action of G on X defined by $\rho(g, x) = \alpha(g)(x)$ for all $g \in G$ and $x \in X$, and one can show that these constructions are inverse to each other, i.e., there is a bijective correspondence

$$\{\text{group actions } G \times X \rightarrow X\} \iff \{\text{group homomorphism } G \rightarrow S(X)\}.$$

Definition 9.20. Let G be a group acting on X . For any $x \in X$, let $\epsilon_x : G \rightarrow X$ be the function defined by $\epsilon_x(g) = gx$. The *orbit* of x is the image

$$\text{orb}_G(x) = \epsilon_x(G) = \{x' \in X : x' = gx \text{ for some } g \in G\}.$$

The set of orbits of this action is denoted X/G . The *stabilizer* of x is the preimage

$$\text{stab}_G(x) = \epsilon_x^{-1}(x) = \{g \in G : gx = x\}.$$

The stabilizer of any $x \in X$ is a subgroup of G .

Lemma 9.21. Let G be a group acting on X . The stabilizer of any $x \in X$ is a subgroup of G .

Lemma 9.22. Let G be a group acting on X . Every element of X is in an orbit, and distinct orbits are either equal or disjoint. Thus X is a disjoint union of orbits

$$X = \bigcup_{\mathcal{O} \in X/G} \mathcal{O}$$

and

$$|X| = \sum_{\mathcal{O} \in X/G} |\mathcal{O}|$$

if X is finite.

Theorem 9.23 (The Orbit-Stabilizer Theorem). Let G be a group acting on X . For any $x \in X$, there exists a bijection

$$G/\text{stab}_G(x) \rightarrow \text{orb}_G(x)$$

of sets. In particular, we have

$$|G| = |\text{stab}_G(x)| \cdot |\text{orb}_G(x)|$$

if $|G|$ is finite.

Lemma 9.24 (Burnside's Lemma). Let G be a finite group acting on a finite set X . Then

$$|X/G| = \frac{1}{|G|} \sum_{g \in G} |X^g|$$

where $X^g = \{x \in X : gx = x\}$ denotes the set of $x \in X$ fixed by g .

9.4 Sylow Theorems

Definition 9.25. For any action G on X , let

$$\text{Fix}_G(X) = \{x \in X : gx = x \text{ for all } g \in G\}$$

be the set of elements of X that are fixed by every $g \in G$. In other words, for any $x \in X$, we have

$$x \in \text{Fix}_G(X) \iff \text{stab}_G(x) = G \iff |\text{orb}_G(x)| = 1$$

where the second equivalence is by Theorem 9.23. Thus we have an equality

$$\text{Fix}_G(X) = \bigcup_{\mathcal{O} \in X/G, |\mathcal{O}|=1} \mathcal{O}$$

of subsets of X .

Lemma 9.26. Let p be a prime, and suppose G is a group of order $|G| = p^n$ acting on a finite set X . Then

$$|X| \equiv |\text{Fix}_G(X)| \pmod{p}.$$

Theorem 9.27 (Cauchy's Theorem). Let G be a finite group. For any prime p dividing $|G|$, there exists an element $g \in G$ with $\text{ord}(g) = p$.

Lemma 9.28. If G is a finite p -group, then $|G| = p^k$ for some k .

Definition 9.29. Let G be a group, let p be prime dividing $|G|$. Suppose $|G| = p^k n$ where $\text{gcd}(p, n) = 1$. A subgroup H of G is called a *Sylow p -subgroup* of G if $|H| = p^k$. We denote by $\text{Syl}_p(G) \subset \mathcal{P}_{p^k}(G)$ the set of Sylow p -subgroups of G .

Theorem 9.30 (Sylow's Theorem). Let G be a group, and let p be a prime dividing $|G|$, and say $|G| = p^k n$ where $\text{gcd}(p, n) = 1$.

- (i) For any $0 \leq i \leq k - 1$ and any subgroup H with $|H| = p^i$, there exists a subgroup H' satisfying $|H'| = p^{i+1}$ and $H \subset H'$. In particular, $\text{Syl}_p(G) \neq \emptyset$.
- (ii) For any two $H_1, H_2 \in \text{Syl}_p(G)$, there exists $g \in G$ such that $gH_2g^{-1} = H_1$.
- (iii) Let $n_p = |\text{Syl}_p(G)|$ denote the number of Sylow p -subgroups of G . Then n_p divides $|G|$ and $n_p \equiv 1 \pmod{p}$. Furthermore, for any Sylow p -subgroup H , we have $n_p = [G : N_G(H)]$.

Lemma 9.31. Let G be a finite group, and let p be a prime dividing $|G|$. Then $n_p = 1$ if and only if there is a normal Sylow p -subgroup.

9.5 Applications of Sylow Theorems

Lemma 9.32. If $|G| = 63$, then G is not simple.

Lemma 9.33. Let p, q be distinct primes and let G be a group of order $|G| = pq^s$ for some s . If $n_p = q^s$, then $n_q = 1$.

Lemma 9.34. If $|G| = 56$, then G is not simple.

Lemma 9.35. Let $|G| = pq$ for distinct primes p, q such that $p \nmid q - 1$ and $q \nmid p - 1$, then $G \cong \mathbb{Z}/(pq)$.

Lemma 9.36. Let $|G| = p^n$ for some prime p and $n \geq 1$, then the center $Z(G)$ is nontrivial.

Lemma 9.37. If $|G| = p^n$ for some prime p and $n \geq 2$, then G is not simple.

Lemma 9.38. If $|G| = p^2$ for some prime p , then G is abelian. Hence either $G \cong \mathbb{Z}/(p^2)$ or $G \cong \mathbb{Z}/(p) \times \mathbb{Z}/(p)$.

Lemma 9.39. If $|G| = p^2q$ for distinct primes p, q such that $q \not\equiv 1 \pmod{p}$ and $p^2 \not\equiv 1 \pmod{q}$, then either $G \cong \mathbb{Z}/(p^2q)$ or $G \cong \mathbb{Z}(p) \times \mathbb{Z}/(pq)$.

Lemma 9.40. If $|G| = p^2q$ for distinct primes p, q , then G is not simple.

10 Arithmetic in Integral Domains

10.1 Euclidean Domains

Definition 10.1. Let R be an integral domain. We say that R is a *Euclidean domain (ED)* if there exists a function

$$\delta : R \setminus \{0_R\} \rightarrow \mathbb{Z}_{\geq 0}$$

satisfying

- (i) if $a, b \in R \setminus \{0_R\}$ and $b \mid a$, then $\delta(b) \leq \delta(a)$;
- (ii) if $a, b \in R$ and $b \neq 0_R$, then there exist $q, r \in R$ such that $a = bq + r$ and either $r = 0$ or $\delta(r) < \delta(b)$.

The function δ is called a *Euclidean function* for R .

Remark. If δ is a Euclidean function of the integral domain R , then we can generate infinitely more Euclidean function on R as follows: let $\kappa : \mathbb{Z}_{\geq 0} \rightarrow \mathbb{Z}_{\geq 0}$ be any injective function which is order-preserving, i.e. if $n_1 < n_2$ then $\kappa(n_1) < \kappa(n_2)$. Then the composition

$$\kappa \cdot \delta : R \setminus \{0_R\} \rightarrow \mathbb{Z}_{\geq 0}$$

is also a Euclidean function for R . In general, we prefer the “simplest” Euclidean function.

Lemma 10.2. For any commutative ring R has any elements $x_1, y_1, x_2, y_2, A \in R$, we have

$$(x_1^2 - Ay_1^2)(x_2^2 - Ay_2^2) = (x_1x_2 + Ay_1y_2)^2 - A(x_1y_2 + x_2y_1)^2$$

in R .

10.2 Principal Ideal Domains

Definition 10.3. Let R be an integral domain. We say that R is a *principal ideal domain (PID)* if every ideal of R is a principal ideal.

Theorem 10.4. If R is an ED, then R is a PID.

Lemma 10.5. Let R be a PID and $a \in R$ be a nonzero element. Then a is prime if and only if a is irreducible.

Lemma 10.6. Let R be a PID and P be a nonzero prime ideal of R . Then P is a maximal ideal.

10.3 Unique Factorization Domains

Definition 10.7. Let R be an integral domain. We say that R is a *unique factorization domain (UFD)* if:

- (i) for every nonzero non-unit $a \in R$, there exist irreducible $p_1, \dots, p_r \in R$ (with $r \geq 1$) such that $a = p_1 \cdots p_r$;

(ii) if $r, s \in \mathbb{Z}_{\geq 1}$ and $p_1, \dots, p_r, q_1, \dots, q_s \in R$ are irreducible elements such that

$$p_1 \cdots p_r = q_1 \cdots q_s$$

then $r = s$ and there exist a permutation σ of $\{1, \dots, r\}$ such that $p_i, q_{\sigma(i)}$ are associates for all $i = 1, \dots, r$.

Theorem 10.8. If R is a PID, then R is a UFD.

Lemma 10.9. Let R be a UFD and $a \in R$ be a nonzero element. Then a is prime if and only if a is irreducible.

Theorem 10.10. Let R be a Noetherian integral domain. The following are equivalent:

- (i) R is a UFD;
- (ii) every irreducible element of R is prime.

Theorem 10.11. If R is a UFD, then $R[x]$ is a UFD.

Definition 10.12. Let R be an integral domain. Let I_R be the set of (nonzero) principal ideals of R . Let P_R be the set of (nonzero) prime principal ideals of R . Let S_R be the set of functions $e : P_R \rightarrow \mathbb{Z}_{\geq 0}$ such that $e^{-1}(\mathbb{Z}_{\geq 1})$ is finite. Let $\varphi_R : S_R \rightarrow I_R$ be the function

$$e \rightarrow \prod_{P \in e^{-1}(\mathbb{Z}_{\geq 1})} P^{e(P)}.$$

Theorem 10.13. If R is a UFD, the map φ_R is a bijection.

Definition 10.14. Let R be an integral domain, and $a_1, \dots, a_n \in R$ be elements. Let $\text{CD}(a_1, \dots, a_n)$ be the set of common divisors of a_1, \dots, a_n . An element $g \in \text{CD}(a_1, \dots, a_n)$ is a *greatest common divisor (gcd)* of a_1, \dots, a_n if $d \mid g$ for all $d \in \text{CD}(a_1, \dots, a_n)$. The gcd may not exist in an arbitrary integral domain.

Lemma 10.15. Let R be an integral domain, and $a_1, \dots, a_n \in R$ be elements, and suppose $g \in R$ is a gcd of a_1, \dots, a_n . For any $g' \in R$, we have g' is a gcd of a_1, \dots, a_n if and only if g, g' are associates.

Remark. Each time we enlarge the class of rings under consideration, we give up on some property of the gcd:

Property	\mathbb{Z}	$F[x]$	ED	PID	UFD	integral domains
literally "greatest"	✓	×	×	×	×	×
unique	✓	✓	×	×	×	×
computable via Euclidean algorithm	✓	✓	✓	×	×	×
linear combination of a_1, \dots, a_n	✓	✓	✓	✓	×	×
exists	✓	✓	✓	✓	✓	×

10.4 Quadratic Integer Rings

Lemma 10.16. Let $d \in \mathbb{Z}$ be an integer which is not a perfect square. We define the ring

$$\mathbb{Z}[\sqrt{d}] = \{s + t\sqrt{d} : s, t \in \mathbb{Z}\}.$$

There is a norm function

$$N : \mathbb{Z}[\sqrt{d}] \rightarrow \mathbb{Z}$$

defined

$$N(s + t\sqrt{d}) = (s + t\sqrt{d})(s - t\sqrt{d}) = s^2 - dt^2$$

for all $s, t \in \mathbb{Z}$. Given the norm function N ,

- (i) for all $a, b \in \mathbb{Z}[\sqrt{d}]$, $N(a \cdot b) = N(a) \cdot N(b)$;
- (ii) $N(a) = 0$ if and only if $a = 0$;
- (iii) $a \in \mathbb{Z}[\sqrt{d}]$ is a unit if and only if $N(a) = \pm 1$.

Lemma 10.17. Let $\mathbb{Z}[\sqrt{d}]$ be the ring defined in Lemma 10.16. If $d > 0$ then there are infinitely many units in $\mathbb{Z}[\sqrt{d}]$, and if $d < 0$ then there are only finitely many units in $\mathbb{Z}[\sqrt{d}]$. In fact, if $d = -1$ then the units of $\mathbb{Z}[i] = \mathbb{Z}[\sqrt{-1}]$ are $\pm 1, \pm i$; if $d \leq -2$ then the units of $\mathbb{Z}[\sqrt{d}] = \pm 1$.

Lemma 10.18. Let $\mathbb{Z}[\sqrt{d}]$ be the ring defined in Lemma 10.16. If $a \in \mathbb{Z}[\sqrt{d}]$ is an element such that $N(a)$ is irreducible in \mathbb{Z} , then a is irreducible in $\mathbb{Z}[\sqrt{d}]$.

Lemma 10.19. In $\mathbb{Z}[\sqrt{d}]$, every nonunit is equal to a product of irreducible elements.

Lemma 10.20. If $p \in \mathbb{Z}[i]$ is an irreducible element, then p is an associate of exactly one of the following:

- (i) a positive prime $r \in \mathbb{Z}$ such that $r \equiv 3 \pmod{4}$;
- (ii) $r + si$ where $r^2 + s^2$ is a prime of \mathbb{Z} .

10.5 Dedekind Domains

Definition 10.21. Let R be a ring and $S \subseteq R$ be a subring.

- (i) An element $R \in R$ is said to be *integral* (or *algebraic*) if there exists a monic polynomial $f \in S[x]$ such that $f(r) = 0$.
- (ii) The *integral closure* of S in R is the subset $\bar{S} \subseteq R$ consisting of elements of R that are integral over S .
- (iii) We say that S is *integrally closed* in R if $S = \bar{S}$.

Theorem 10.22. Let R be a ring and $S \subseteq R$ be a subring. Let \bar{S} be the integral closure of S in R .

- (i) \bar{S} is a subring of R ;
- (ii) \bar{S} is integrally closed in R .

Definition 10.23. A ring R is called a *Dedekind domain* if:

- (i) R is an integral domain;
- (ii) R is Noetherian, i.e. every ideal of R is finitely generated;
- (iii) R is integrally closed in its field of fractions;
- (iv) $\dim(R) = 1$.

Theorem 10.24. Let K be a subfield of \mathbb{C} such that K is finite-dimensional \mathbb{Q} -vector space, and let \mathcal{O}_K be the integral closure of \mathbb{Z} in K . Then \mathcal{O}_K is a Dedekind domain.

Theorem 10.25. Let R be a Dedekind domain. Then R is a PID if and only if R is a UFD.

Definition 10.26. Let R be a ring, and let I_R be the set of nonzero ideal of R , and let P_R be the set of nonzero prime ideals of R , and let S_R be the set of functions $e : P_R \rightarrow \mathbb{Z}_{\geq 0}$ such that $e^{-1}(\mathbb{Z}_{\geq 1})$ is finite. Let

$\varphi_R : S_R \rightarrow I_R$ be the function defined by

$$\varphi_R(e) = \prod_{P \in e^{-1}(\mathbb{Z}_{\geq 1})} P^{e(P)}.$$

Theorem 10.27. If R is a Dedekind domain, then φ_R is a bijection.

10.6 Field of Fractions of Integer Domains

Definition 10.28. Let R be an integral domain. Let $S = R \times (R \setminus \{0_R\})$ and we declare that $(a, b) \sim (a', b')$ if $ab' = a'b$. In this case, $(a, b), (a', b') \in S$ are called *equivalent*. Check that this defines an equivalence relation on S . The set of equivalence classes of S is called $\text{Frac}(R)$, called the *fraction field* of R . Let

$$[a, b] = \{(c, d) \in S : (a, b) \sim (c, d)\}$$

denote the equivalence class containing (a, b) . The addition and multiplication on $\text{Frac}(R)$ is defined by

$$\begin{aligned} [a, b] + [c, d] &= [ad + bc, bd] \\ [a, b] \cdot [c, d] &= [ac, bd] \end{aligned}$$

for all $[a, b], [c, d] \in \text{Frac}(R)$.

There is a function $\xi : R \rightarrow \text{Frac}(R)$ defined by $r \rightarrow [r, 1_R]$ for all $r \in R$ that is an injective unital ring homomorphism. If R is a field, then it is an isomorphism. Thus, we may identify R with the set of elements of the form $[r, 1_R]$. Under this identification, we see that the element $[a, b] \in \text{Frac}(R)$ does indeed behave like the fraction “ a/b ”, in the sense that it is “an element which, multiplied by b , gives a ”, i.e., $[b, 1_R] \cdot [a, b] = [a, 1_R]$.

Lemma 10.29. Given an integral domain R , a field F , and an injective unital ring homomorphism $\varphi : R \rightarrow F$ there exists a field embedding $\varphi' : \text{Frac}(R) \rightarrow F$ such that $\varphi = \varphi' \circ \xi$.

Remark. In general, suppose R is a commutative ring with identity. For any prime ideal P of R , we can construct the *residue field* of P as follows: construct the quotient R/P , which is an integral domain, then construct the fraction field $\text{Frac}(R/P)$

11 Field Extensions

11.1 Field Extensions

Definition 11.1. If F is a subfield of a field K , we say that K is a *field extension* of F , denoted “ K/F ” or “ $F \subset K$ ”. A *field embedding* is a unital ring homomorphism $\varphi : F \rightarrow K$, where F and K are fields. Here φ is necessarily injective by Lemma 11.2, hence φ induces an isomorphism $F \cong \varphi(F)$, we often (implicitly) identify the field embedding $F \rightarrow K$ with the induced field extension $\varphi(F) \subset K$.

Lemma 11.2. Let F be a field, let R be any nonzero commutative ring with identity, and let $\varphi : F \rightarrow R$ be a unital ring homomorphism. Then φ is injective.

11.2 Simple Extensions

Definition 11.3. For any commutative ring R with identity, there is a unique (unital) ring homomorphism $\epsilon_R : \mathbb{Z} \rightarrow R$, namely $n \rightarrow n \cdot 1_R$ (we usually abbreviate $n \cdot 1_R$ as “ n ”). By the First Isomorphism Theorem, there is an injective ring homomorphism $\mathbb{Z}/\ker \epsilon_R \rightarrow R$. If F is a field, then $\mathbb{Z}/\ker \epsilon_F$ is isomorphic to a

subring of a field, so it is an integral domain, so $\ker \epsilon_F$ is a prime ideal of \mathbb{Z} . Thus $\ker \epsilon_F$ is of the form (ℓ) for some nonnegative integer $\ell \in \mathbb{Z}_{\geq 0}$ which is either 0 or a positive prime integer p ; this unique nonnegative integer ℓ satisfying $\ker \epsilon_F = (\ell)$ is called the *characteristic* of F , denoted $\text{char } F$.

Lemma 11.4. Let F be a field.

- (i) If $\text{char } F = 0$, then F is an extension of \mathbb{Q} .
- (ii) If $\text{char } F = p$, then F is an extension of \mathbb{F}_p .

Lemma 11.5. Let F, K be fields. If there exists a field embedding $\varphi : F \rightarrow K$, then $\text{char } F = \text{char } K$.

Remark. By the previous lemma, if F_1, F_2 are fields of different characteristic, then there is no field embedding $F_1 \rightarrow F_2$ or $F_2 \rightarrow F_1$.

Definition 11.6. The *degree* of the extension K/F is the dimension of K as an F -vector space, and it is denoted $[K : F] = \dim_F K$. If $[K : F]$ is finite, we say that K/F is a *finite extension*.

Lemma 11.7. Let K/F be a field extension. Then $[K : F] = 1$ if and only if $F = K$.

Lemma 11.8. Let $F \subseteq K \subseteq L$ be field extensions.

- (i) If $\mathcal{V} = \{v_1, \dots, v_n\}$ is an F -basis for L , then $\mathcal{U} = \{v_i w_j : 1 \leq i \leq n, 1 \leq j \leq m\}$ is an F -basis for L .
- (ii) The extension $F \subset L$ is finite if and only if $F \subset K$ and $K \subset L$ are finite, in which case we have an equality

$$[L : F] = [L : K][K : F]$$

of degrees.

Definition 11.9. Let K/F be an extension, and let $S \subset K$ be a subset. The intersection of all subfields of K that contain F and S is denoted $F(S)$ and called the “field obtained by adjoining S of F ”.

Remark.

- (i) We can write any finitely generated extension $F(u_1, \dots, u_n)/F$ as a composition of simple extensions:

$$F \subseteq F(u_1) \subseteq F(u_1, u_2) \subseteq \dots \subseteq F(u_1, \dots, u_{n-1}) \subseteq F(u_1, \dots, u_n).$$

- (ii) The inclusion $F \subseteq F(S)$ is an equality if and only if $S \subset F$.

Lemma 11.10. Let K/F be an extension, let $S, T \subset K$ be subsets. Then

$$F(S, T) = (F(S))(T)$$

as subfields of K . In particular, for any $u_1, \dots, u_n \in K$, we have

$$F(u_1, \dots, u_n) = ((F(u_1, \dots, u_{n-1}))(u_n))$$

in K .

Lemma 11.11. Let R, S be commutative rings with identity, and let $\sigma : R \rightarrow S$ be a unital ring homomorphism. For any $u \in S$, there exists a unique ring homomorphism $\varphi_u : R[x] \rightarrow S$ satisfying $\varphi_u(x) = u$.

Definition 11.12. Let K/F be a field extension, and let $u \in K$ be an element. Let

$$\varphi_u : F[x] \rightarrow K$$

be the F -homomorphism sending $x \rightarrow u$.

- (i) If φ_u is injective, we say that u is *transcendental* over F .
- (ii) If φ_u is not injective, we say that u is *algebraic* over F .

Lemma 11.13. If u is transcendental over F , then there is a F -isomorphism $F(x) \cong F(u)$.

Definition 11.14. In Definition 11.12, suppose that u is algebraic over F . Since $F[x]$ is a PID, there exists a unique monic polynomial

$$m_{u,F} \in F[x]$$

such that

$$\ker \varphi_u = (m_{u,F})$$

as ideals of $F[x]$; this $m_{u,F}$ is called the *minimal polynomial* of u over F . The degree of $m_{u,F}$ is called the *degree* of u over F .

Lemma 11.15. Let K/F be a field extension, and let $u \in K$ be algebraic over F with minimal polynomial $m_{u,F} \in F[x]$. Set $n = \deg m_{u,F}$. Then

- (i) There is an F -isomorphism $F[x]/(m_{u,F}) \cong F(u)$.
- (ii) The set $\{1, u, \dots, u^{n-1}\}$ is an F -basis of $F(u)$.
- (iii) We have $[F(u) : F] = n$.

Lemma 11.16. Let K/F be a field extension. If $f \in F[x]$ is a monic irreducible polynomial and $u \in K$ is a root of f in K , then $m_{u,F} = f$.

Remark. Given an extension K/F , we often want to compute the degree $[K : F]$. We can reduce to computing the degrees of simple extensions $F(u)/F$. We have $[F(u) : F] = \deg m_{u,F}$ so the task is equivalent to determining the minimal polynomial $m_{u,F}$. We do this in two steps:

- (i) Find some monic polynomial $f \in F[x]$ such that $f(u) = 0$.
- (ii) Prove that f is irreducible.

Lemma 11.17. Let $F_1 \subset F_2 \subset K$ be field extensions, and let $u \in K$ be algebraic over F_1 . Then u is algebraic over F_2 , and $m_{u,F_2} \mid m_{u,F_1}$ in $F_2[x]$. In particular, $\deg m_{u,F_2} \leq \deg m_{u,F_1}$.

Lemma 11.18. Let K/F be an extension, and $u_1, \dots, u_n \in K$ be algebraic over F . Then an F -basis for $F(u_1, \dots, u_n)$ is

$$\{u_1^{e_1} \cdots u_n^{e_n} : 0 \leq e_i \leq d_i \text{ for } 1 \leq i \leq n\}$$

where we define $d_i = \deg m_{u_i, F(u_1, \dots, u_{i-1})}$ for all $1 \leq i \leq n$. Thus

$$[F(u_1, \dots, u_n) : F] = d_1 \cdots d_n$$

and $F(u_1, \dots, u_n)/F$ is a finite extension.

Remark. If we reorder the u_1, \dots, u_n , the F -basis that we get will in general be different; this is because the bound $d_i = \deg m_{u_i, \dots, u_{i-1}}$ depends on the fact that u_1, \dots, u_{i-1} are adjoined before u_i .

Lemma 11.19. Let F be a field with $\text{char } F \neq 2$, and let $a, b \in F$ be elements such that a, b, ab are not square in F . For any extension, K/F containing $\sqrt{a}, \sqrt{b}, \sqrt{ab}$, the set $\{1, \sqrt{a}, \sqrt{b}, \sqrt{ab}\}$ is linearly independent over F , i.e., $[F(\sqrt{a}, \sqrt{b}) : F] = 4$.

11.3 Algebraic Extensions

Definition 11.20. Let K/F be a field extension. We say that K/F is an *algebraic extension* if every element of K is algebraic over F .

Lemma 11.21. If K/F is a finite extension, then it is an algebraic extension.

Lemma 11.22. Let K/F be a field extension, and let $F' \subset K$ be the set of elements of K that are algebraic over F . Then F' is a field extension of F .

Lemma 11.23. Let $F \subset K \subset L$ be field extensions. If $F \subset K$ and $K \subset L$ are algebraic, then $F \subset L$ is algebraic.

Remark. We say that an extension K/F is an *algebraic closure* of F if:

- (i) K/F is an algebraic extension, and
- (ii) K is algebraically closed (i.e. every nonconstant $f \in K[x]$ has a root in K).

For any field F , it is known that

- (i) (existence) There exists an algebraic closure K/F of F .
- (ii) (uniqueness) Given two algebraic closures K_1/F and K_2/F of F , there exist an F -isomorphism $K_1 \cong K_2$.

11.4 Splitting Fields

Definition 11.24. Let F be a field, $f \in F[x]$ be a monic polynomial, and K/F a field extension. We say that f splits over K if there exist elements $u_1, \dots, u_n \in K$, not necessarily distinct, such that $f = (x - u_1) \cdots (x - u_n)$ in $K[x]$.

Lemma 11.25. Let F be a field, and let $(u_1, \dots, u_n), (u'_1, \dots, u'_n) \in F^n$ be n -tuples of elements such that

$$(x - u_1) \cdots (x - u_n) = (x - u'_1) \cdots (x - u'_n)$$

in $F[x]$. Then there exists a permutation $\sigma \in S_n$ such that $(u_1, \dots, u_n) = (s'_{\sigma(1)}, \dots, u'_{\sigma(n)})$.

Lemma 11.26. Let F be a field, and let $f \in F[x]$ be a monic polynomial. Then there exists a field extension $F \subseteq E$ such that f splits over E .

Definition 11.27. Let F be a field, and let $f \in F[x]$ be a polynomial. A *splitting field* of f over F is an extension K/F such that

- (i) f splits over K , and
- (ii) if $F \subseteq L \subseteq K$ and f splits over L , then $L = K$.

Lemma 11.28. Let F be a field, and let $f \in F[x]$ be a monic polynomial, and suppose $F \subset E$ is any field extension such that f splits over E as $f = (x - u_1) \cdots (x - u_n)$ for some $u_1, \dots, u_n \in E$.

- (i) The extension $F(u_1, \dots, u_n)/F$ is a splitting field for f over F .
- (ii) We have $[F(u_1, \dots, u_n) : F] \leq n!$.

Theorem 11.29. Let F be a field, and let $f \in F[x]$ be a polynomial. Then there exists an extension K/F which is a splitting field for f over F .

Lemma 11.30. Let F be a field, $f \in F[x]$ be a polynomial, and let K/F be a splitting field of f over F . For any field F' such that $F \subseteq F' \subseteq K$, the extension K/F' is a splitting field of f over F' .

Lemma 11.31 (Isomorphism Extension Theorem). Let $\phi : F_1 \rightarrow F_2$ be an isomorphism of fields. For $i = 1, 2$, let K_i/F_i be a field extension, and let $u_i \in K_i$ be an element which is algebraic over F_i , with minimal polynomial $m_{u_i, F_i} \in F_i[x]$. If $\phi(m_{u_1, F_1}) = m_{u_2, F_2}$, there exists a unique isomorphism $\tilde{\phi} : F_1(u_1) \rightarrow F_2(u_2)$ such that $\tilde{\phi}(u_1) = u_2$ and $\tilde{\phi}$ extends ϕ .

Theorem 11.32. (Splitting fields are unique) Let $\phi : F_1 \rightarrow F_2$ be an isomorphism of fields. For $i = 1, 2$, let $f_i \in F_i[x]$ be a polynomial, and let K_i/F_i be a splitting field of f_i over F_i . If $f_2 = \phi(f_1)$, then there exists an isomorphism $\phi' : K_1 \rightarrow K_2$ which extends ϕ .

Definition 11.33. An algebraic extension K/F is a *normal extension* if, for every $u \in K$, the minimal polynomial $m_{u, F} \in F[x]$ splits over K .

Theorem 11.34. Let K/F be a finite extension. The following are equivalent:

- (i) The extension K/F is a splitting field for some polynomial $f \in F[x]$;
- (ii) The extension K/F is a normal extension.

11.5 Separability

Definition 11.35. Let F be a field and let $f = \sum_{i=0}^n a_i x^i \in F[x]$ be a polynomial. The *derivative* of f is $f' = \sum_{i=1}^n i a_i x^{i-1} \in F[x]$.

Lemma 11.36. Let F be a field.

- (i) Given $c \in F$ and $f \in F[x]$, we have $(cf)' = cf'$.
- (ii) Given $f, g \in F[x]$, we have $(f + g)' = f' + g'$.
- (iii) Given $f, g \in F[x]$, we have $(fg)' = f'g + fg'$.

Lemma 11.37. For F be a field. For a polynomial $f \in F[x]$ of degree n , the following are equivalent:

- (i) $\gcd(f, f') = 1$
- (ii) For all extensions K/F such that f splits over K , f has n distinct roots in K .
- (iii) There exists an extension K/F such that f splits over K and f has n distinct roots in K .

Definition 11.38. Let F be a field. A polynomial $f \in F[x]$ is a *separable polynomial* if the conditions of the previous lemma are satisfied; otherwise, f is *inseparable*. Let K/F be a field extension, and let $u \in K$ be algebraic over F . We say that u is a *separable element* over F if its minimal polynomial $m_{u, F} \in F[x]$ is a separable polynomial. An algebraic extension K/F is *separable extension* if every element $u \in K$ is separable over F .

Lemma 11.39. Let F be a field, and let $f \in F[x]$ be a monic irreducible polynomial. Then $f' \neq 0$ if and only if f is separable.

Lemma 11.40. Let F be a field of char $F = 0$.

- (i) Every irreducible polynomial $f \in F[x]$ is separable.
- (ii) Every algebraic extension K/F is a separable extension.

Theorem 11.41 (Primitive Element Theorem). Let K/F be a finite separable extension. Then there

exists some $u \in K$ such that $K = F(u)$.

11.6 Finite Fields

Lemma 11.42. Let F be a finite field. Then $\text{char } F = p$ for some prime p (hence $\mathbb{F}_p \subseteq F$).

Lemma 11.43. Let F be a finite field. Then $|F| = p^n$ where $p = \text{char } F$ and $n = [F : \mathbb{F}_p]$.

Lemma 11.44. Let F be a field of $\text{char } F = p$. For any positive integer n , the subset

$$F = \{u \in F : u^{p^n} = u\}$$

is a subfield of F .

Lemma 11.45. Let p is a prime.

- (i) The polynomial $x^{p^n} - x \in \mathbb{F}_p[x]$ is separable.
- (ii) If $m \mid n$, then $x^{p^m} - x \mid x^{p^n} - x$.

Theorem 11.46. Let F be a finite field and set $p = \text{char } F$. The following are equivalent:

- (i) We have $|F| = p^n$.
- (ii) The extension F/\mathbb{F}_p is a splitting field of $x^{p^n} - x$ over \mathbb{F}_p .

Theorem 11.47. Let p be a prime, and let n be a positive integer.

- (i) There exists a field F of order p^n .
- (ii) If F_1, F_2 are both fields of order p^n , then $F_1 \cong F_2$.
- (iii) If F_1, F_2 are subfield of K of order p^n , then $F_1 = F_2$.

Definition 11.48. The field of order p^n is unique and denoted \mathbb{F}_{p^n} .

Lemma 11.49. We have $\mathbb{F}_{p^m} \subseteq \mathbb{F}_{p^n}$ if and only if $m \mid n$.

Lemma 11.50. Let K be a field, and let $G \subseteq K^\times$ be a finite subgroup. Then G is cyclic.

Theorem 11.51 (Primitive Element Theorem for Finite Fields). Let K/F be an extension of finite fields. Then there exists some $u \in K$ such that $K = F(u)$.

Lemma 11.52. Let p be a prime. For any positive integer n , there exists a monic irreducible polynomial $f \in \mathbb{F}_p[x]$ of degree $\deg f = n$.

Lemma 11.53. Let p be a prime. For any positive integer n , we have

$$x^{p^n} - x = \prod_{d \mid n, f \in M_d} f$$

in $\mathbb{F}_p[x]$, where “ M_d ” denotes the set of monic irreducible polynomials of degree d in $\mathbb{F}_p[x]$.

Lemma 11.54. Let K/F be an extension of finite fields. Then the extension K/F is normal and separable.

12 Galois Theory

12.1 Automorphism Groups

Definition 12.1. Let K be a field. The set of field automorphisms $\varphi : K \rightarrow K$ is denoted $\text{Aut}(K)$; this is a group under composition called the *automorphism group*. Let K/F be a field extension. An automorphism $\varphi \in \text{Aut}(K)$ is an F -automorphism if $\varphi(a) = a$ for all $a \in F$ (we also say that φ *fixes* F). The subset

$$\text{Aut}(K/F) = \{\varphi \in \text{Aut}(K) : \varphi(a) = a \text{ for all } a \in F\}$$

of F -automorphism of K is a subgroup of $\text{Aut}(K)$.

Lemma 12.2. Let F be a field, and let $f \in F[x]$ be a polynomial.

- (i) Let K/F be a field extension, and let $\varphi \in \text{Aut}(K/F)$; if $u \in K$ is a root of f , then $\varphi(u) \in K$ is also a root of f .
- (ii) Assume that f is monic irreducible over F and that K/F is the splitting field of f over F . If $u, v \in K$ are two roots of f , then there exists some $\varphi \in \text{Aut}(K/F)$ such that $\varphi(u) = v$.

Lemma 12.3. Let K/F be a field extension, and let $S \subset K$ be a generating set for K/F (so that $K = F(S)$). If $\varphi_1, \varphi_2 \in \text{Aut}(K/F)$ are two F -automorphisms such that $\varphi_1(s) = \varphi_2(s)$ for all $s \in S$, then $\varphi_1 = \varphi_2$.

Lemma 12.4. If K/F is a finite extension, then $\text{Aut}(K/F)$ is a finite group.

Lemma 12.5. Let F be a field, $f \in F[x]$ be a polynomial, and K/F be a splitting field of f over F . If there are n distinct roots of f in K , there is an injective group homomorphism

$$\text{Aut}(K/F) \rightarrow S_n$$

where S_n is the symmetric group of degree n . In particular, $|\text{Aut}(K/F)| \leq n!$.

Lemma 12.6. Let F be a field, $f \in F[x]$ be a polynomial, and K/F be a splitting field of f over F . Then

- (i) $|\text{Aut}(K/F)| \leq [K : F]$;
- (ii) if f is separable, then $|\text{Aut}(K/F)| = [K : F]$.

12.2 Galois Theory

Definition 12.7. Let K be a field and $G \subseteq \text{Aut}(K)$ be a subgroup. The set

$$K^G = \{a \in K : \varphi(a) = a \text{ for all } \varphi \in G\}$$

is a subfield of K , called the *fixed field* of G .

Remark. (Galois correspondence) For a field K , there are functions between the subgroups of $\text{Aut}(K)$ and the subfields of K defined by

$$F(G) = K^G \quad G(F) = \text{Aut}(K/F)$$

for any subgroup $G \subseteq \text{Aut}(K)$ and subfield $F \subseteq K$.

Lemma 12.8. Let K be a field.

- (i) If $G_1 \subseteq G_2$ are two subgroups of $\text{Aut}(K)$, then $K^{G_1} \supseteq K^{G_2}$.
- (ii) If $F_1 \subseteq F_2$ are two subfields of K , then $\text{Aut}(K/F_1) \supseteq \text{Aut}(K/F_2)$.

Remark. Let K be a field. For a subfield F of K , we have an inclusion $F \subseteq K^{\text{Aut}(K/F)}$ of subfields of K . For any subgroup G of $\text{Aut}(K)$, we have an inclusion $G \subseteq \text{Aut}(K/K^G)$ of subgroups of $\text{Aut}(K)$.

Lemma 12.9. Let K be a field, and let $\varphi_1, \dots, \varphi_n \in \text{Aut}(K)$ be distinct automorphisms of K . Then $\{\varphi_1, \dots, \varphi_n\}$ is linearly independent over K .

Theorem 12.10. Let K be a field, and let G be a finite subgroup of $\text{Aut}(K)$.

- (i) The extension K/K^G is a finite extension and its degree is $[K : K^G] = |G|$.
- (ii) The extension K/K^G is separable and normal.

Lemma 12.11. For any finite extension K/F , we have

$$|\text{Aut}(K/F)| \leq [K : F].$$

Lemma 12.12. If $G \subseteq \text{Aut}(K)$ is a finite subgroup, then the inclusion $G \subseteq \text{Aut}(K/K^G)$ is an equality.

Theorem 12.13 (Galois extension). Let K/F be a finite extension. The following are equivalent:

- (i) The extension K/F is separable and normal.
- (ii) The field K is the splitting field of a separable polynomial $f \in F[x]$.
- (iii) The inequality $|\text{Aut}(K/F)| \leq [K : F]$ is an equality.
- (iv) The inclusion $F \subseteq K^{\text{Aut}(K/F)}$ is an equality.

We say that K/F is a *Galois extension* if it satisfies the above conditions.

Theorem 12.14 (Fundamental Theorem of Galois Theory). Let K/F be a Galois extension.

- (i) The correspondence between the subgroups of $\text{Aut}(K/F)$ and the subfield of K containing F is bijective.
- (ii) Under the correspondence in (i), a subgroup $G \subseteq \text{Aut}(K/F)$ is a normal subgroup if and only if K^G/F is a normal extension.
- (iii) If $F \subseteq E \subseteq K$ is an intermediate subfield such that E/F is normal, then there is an isomorphism

$$\text{Aut}(K/F)/\text{Aut}(K/E) \cong \text{Aut}(E/F)$$

of groups.

Lemma 12.15. Let K be a field, and let $\varphi_1, \dots, \varphi_n \in \text{Aut}(K)$ be automorphisms and let $G = \langle \varphi_1, \dots, \varphi_n \rangle \subseteq \text{Aut}(K)$ be the subgroup generated by the φ_i . Then we have

$$K^G = \{a \in K : \varphi_i(a) = a \text{ for all } i = 1, \dots, n\}$$

as subfields of K .

12.3 Solvability

Definition 12.16. Let K/F be a finite extension. We say that K/F is a *radical extension* if there is a sequence of fields

$$F_0 \subseteq \dots \subseteq F_t$$

such that $F_0 = F$ and $F_t = K$ and for all $i = 1, \dots, t$ there exists some $u_i \in F_i$ and $n_i \in \mathbb{Z}_{\geq 1}$ such that $u_i^{n_i} \in F_{i-1}$ and $F_i = F_{i-1}(u_i)$.

Lemma 12.17. If $F \subseteq F' \subseteq F''$ are field extensions such that F''/F' and F'/F are radical extensions, then F''/F is a radical extension.

Lemma 12.18. If K/F is a field extension such that $K = F(u_1, \dots, u_t)$ for some $u_1, \dots, u_t \in K$ and for all $1 \leq i \leq t$ there exists $n_i \in \mathbb{Z}$ such that $u_i^{n_i} \in F$, then K/F is radical.

Definition 12.19. Let $f \in F[x]$. We say that f is *solvable by radicals* if there exists a radical extension K/F such that f splits over K .

Definition 12.20. Let F be a field, and let n be a positive integer. An element $\zeta \in F$ is called an *n th root of unity* if $\zeta^n = 1_F$. Let

$$\mu_n(F) = \{\zeta \in F : \zeta^n = 1_F\}$$

be the set of all n th roots of unity in F ; then $\mu_n(F)$ is a subgroup of F^\times of order at most n (hence is cyclic). We say that an n th root of unity $\zeta \in \mu_n(F)$ is *primitive* if $\text{ord}(\zeta) = n$ as an element of the multiplicative group F^\times ; equivalently, $|\mu_n(F)| = n$ and ζ is a generator of $\mu_n(F)$.

Lemma 12.21. Let F be a field, and let $n \in \mathbb{Z}_{\geq 1}$ be a positive integer.

- (i) If $|\mu_n(F)| = n$, then $n \neq 0$ in F , i.e., either $\text{char } F = 0$ or $\text{char } F \nmid n$.
- (ii) If $n \neq 0$ in F , then there exists an extension K/F such that $|\mu_n(K)| = n$.

Lemma 12.22. Let F be a field, and let K/F be an extension containing a primitive n th root of unity $\zeta \in K$. Then $F(\zeta)/F$ is a Galois radical extension, and $\text{Aut}(F(\zeta)/F)$ is an abelian group.

Lemma 12.23. Let F be a field containing a primitive n th root of unity $\zeta \in F$, K/F be an extension, ad $u \in K$ be an element such that $u^n \in F$ for some $n \in \mathbb{Z}$. Then $F(u)/F$ is a Galois radical extension, and $\text{Aut}(F(u)/F)$ is an abelian group.

Lemma 12.24. Let F be a field of $\text{char } F = 0$, and let K/F be a radical extension. Then there exists an extension L/K such that L/F is a Galois radical extension.

Definition 12.25. A finite group G is said to be a *solvable group* if there is a sequence

$$G_0 \subseteq \dots \subseteq G_n$$

of subgroups of G such that $G_0 = \{e\}$ and $G_n = G$ and for all $i = 1, \dots, n$, the group G_{i-1} is a normal subgroup of G_i and the quotient G_i/G_{i-1} is abelian.

Lemma 12.26. Let G be a solvable group.

- (i) For any subgroup $H \subseteq G$, we have that H is a solvable group.
- (ii) For any group homomorphism $f : G \rightarrow H$, we have that $f(G)$ is a solvable group.

Lemma 12.27. If G is a finite, simple, non-abelian group, then G is not solvable.

Lemma 12.28. For any $n \geq 5$, the symmetric group S_n is not solvable.

Theorem 12.29. Let F be a field of $\text{char } F = 0$, and let K/F be a Galois radical extension. Then $\text{Aut}(K/F)$ is a solvable group.

Definition 12.30. Let $f \in F[x]$ be a polynomial and K/F be a splitting field of f over F . The *Galois group* of f is the automorphism group $\text{Aut}(K/F)$.

Theorem 12.31 (Galois' criterion). Let F be a field of $\text{char } F = 0$, and let $f \in F[x]$ be a polynomial. The following are equivalent:

- (i) f is solvable by radicals;
- (ii) the Galois group of f is a solvable group.

Theorem 12.32. Let $n \geq 5$ and $f \in \mathbb{Q}[x]$ be a polynomial of $\deg f = n$. If the Galois group of f is S_n , then f is not solvable by radicals.

Lemma 12.33. Let G be a subgroup of S_n that contains a 2-cycle $(a_1 a_2)$ and an n -cycle $(a_1 a_2 \cdots a_n)$. Then $G = S_n$.

15 Geometric Constructions

Let $P = (x_P, y_P), Q = (x_Q, y_Q) \in \mathbb{R}^2$ be distinct points. Let

$$L(P, Q) = \{(x, y) \in \mathbb{R}^2 : (x - x_P)(y_Q - y_P) = (y - y_P)(x_Q - x_P)\}$$

denote the line passing through P and Q . Let

$$C(P, Q) = \{(x, y) \in \mathbb{R}^2 : (x - x_P)^2 + (y - y_P)^2 = (x_Q - x_P)^2 + (y_Q - y_P)^2\}$$

denote the circle whose center is P and passes through Q . We can use a straight edge to construct $L(P, Q)$, and a compass to construct $C(P, Q)$.

Definition 15.1. We say that a point $P \in \mathbb{R}^2$ is a *constructible point* if there exists a finite sequence of points $P_0, P_1, \dots, P_n \in \mathbb{R}^2$ such that $P_0 = (0, 0)$, $P = (1, 0)$, and $P_n = P$, such that for all $i \geq 2$, there exists indices $0 \leq i_1, i_2, i_3, i_4 \leq i - 1$ (not necessarily distinct) such that at least one of the following is true

- (i) we have $P_i \in L(P_{i_1}, P_{i_2}) \cap L(P_{i_3}, P_{i_4})$ and $L(P_{i_1}, P_{i_2}) \neq L(P_{i_3}, P_{i_4})$, or
- (ii) we have $P_i \in C(P_{i_1}, P_{i_2}) \cap C(P_{i_3}, P_{i_4})$ and $P_{i_1} \neq P_{i_3}$, or
- (iii) we have $P_i \in L(P_{i_1}, P_{i_2}) \cap C(P_{i_3}, P_{i_4})$.

We say that $r \in \mathbb{R}$ is a constructible if $(r, 0) \in \mathbb{R}^2$ is a constructible point. The set of constructible numbers is denoted \mathcal{C} .

Lemma 15.2. Let $\mathcal{C} \in \mathbb{R}$ be the set of constructible numbers.

- (i) A point $(x, y) \in \mathbb{R}^2$ is constructible if and only if $x, y \in \mathcal{C}$.
- (ii) The set \mathcal{C} is a subfield of \mathbb{R} (hence contains \mathcal{C}).
- (iii) If $r \in \mathbb{R}_{\geq 0}$ and $r \in \mathcal{C}$, then $\sqrt{r} \in \mathcal{C}$.

Lemma 15.3. Let $P_i \in (x_i, y_i) \in \mathbb{R}^2$ be points for $1 \leq i \leq 4$ such that $P_1 \neq P_2$ and $P_3 \neq P_4$; let F be a subfield of \mathbb{R} containing $\{x_i, y_i\}_{1 \leq i \leq 4}$, and let $P = (x, y) \in \mathbb{R}^2$ be a point.

- (i) If $P \in L(P_1, P_2) \cap L(P_3, P_4)$ and $L(P_1, P_2) \neq L(P_3, P_4)$, then $x, y \in F$.
- (ii) If $P \in L(P_1, P_2) \cap C(P_3, P_4)$, then there exists some $u \in F$ such that $x, y \in F(\sqrt{u})$.
- (iii) If $P \in C(P_1, P_2) \cap C(P_3, P_4)$ and $P_1 \neq P_3$, then there exists some $u \in F$ such that $x, y \in F(\sqrt{u})$.

In particular, the degree $[F(x, y) : F]$ is either 1 or 2.

Theorem 15.4. For a real number $r \in \mathbb{R}$, the following are equivalent:

- (i) The number r is a constructible number.
- (ii) There exists a sequence of extensions $F_0 \subseteq \cdots \subseteq F_\ell$ where $F_0 = \mathbb{Q}$ and $r \in F_\ell$ and $[F_i : F_{i-1}] = 2$ for all $1 \leq i \leq \ell$.

Lemma 15.5. If $\text{char } F \neq 2$ and K/F is an extension of degree $[K : F] = 2$, then $K = F(u)$ for some $u \in K$ such that $u^2 \in F$.

Lemma 15.6. If $P_1, P_2 \in \mathbb{R}^2$ are constructible points, then the distance $\|P_1P_2\|$ is a constructible number.